

# Feature Preprocessing with Restricted Boltzmann Machines for Music Similarity Learning

Son N. Tran<sup>1</sup>, Daniel Wolff<sup>1</sup>, Tillman Weyde<sup>1</sup>, Artur d'Avila Garcez<sup>1</sup>

<sup>1</sup>*Department of Computer Science, City University London, Northampton Square, EC1V 0HB, UK*

Correspondence should be addressed to Son N. Tran\* ([son.tran.1@city.ac.uk](mailto:son.tran.1@city.ac.uk))

## ABSTRACT

Computational modelling of music similarity constitutes a key element for music information retrieval and recommendation systems. Similarity models and their analysis are also important for research in musicology and music perception. In this study, we test feature preprocessing with Restricted Boltzmann Machines in combination with established methods for learning distance measures. Our experiments show that this preprocessing improves the overall generalisation results of the trained models. We compare the effects of feature preprocessing on distance function learning using gradient ascent and support vector machines. The evaluation is performed using similarity data from the MagnaTagATune dataset, which allows a comparison of our results with previous studies.

## 1. INTRODUCTION

Music similarity is a core concept used in many applications in Music Information Retrieval, such as music recommendation, exploration and classification. Moreover, similarity is important for music research on aspects such as provenance or originality as well as in music analysis, e.g. in paradigmatic analysis.

In this study we provide an analysis of the effect of preprocessing feature vectors with Restricted Boltzmann Machines (RBMs) on learning similarity measures on music audio. RBMs determine a non-linear transform of the feature space in an unsupervised learning step. They have been used successfully for learning and representing audio features in other applications (see [7, 14] and below), but to our knowledge, little research on audio similarity learning from user similarity data has been done with RBMs so far.

We model audio similarity using standard machine learning techniques (Support Vector Machines and gradient ascent) for adapting a weighted distance measure to human similarity ratings. The weighted Euclidean distance measure is used for modelling the distance, or inverse similarity of two songs. The similarity data used in the

following experiments was collected from human players in the game with a purpose TagATune, and has the form of “clip A is more similar to clip b than to clip c”. The dataset used in these experiments is derived from the MagnaTagATune dataset [8], consisting of both audio feature data and the collected relative similarity ratings between pairs of clips.

The unsupervised training of the Restricted Boltzmann Machine does not directly optimise the similarity measure, as it does not rely on the similarity ground truth data. However, Restricted Boltzmann Machines have been shown to help in other tasks by transforming the feature space in a way that makes machine learning easier. The transformations change the space of functions that can be modelled by parametrising simple models, e.g. by including interactions between individual features. A transformation into a more suitable representation, determined by unsupervised training, can thus lead to better adaptation of the model to given similarity data.

The code used for the experiments in Section 5, containing an RBM toolbox for Matlab, can be retrieved online<sup>1</sup>.

\*The first two authors contributed equally to this paper.

<sup>1</sup><http://mi.soi.city.ac.uk/blog/codeapps/camiraes2013>

## 2. RELATED WORK

For modelling music similarity, we use a common type of metric in this study: the weighted Euclidean distance, which is a special case of the Mahalanobis metric [12], a standard model for a parametrized similarity measure. The weighted Euclidean distance assigns weights to features but, in contrast to the full Mahalanobis matrix, not the interaction between features.

Different methods have been used for learning distance measures to address specific scenarios and availability of data sources. Here, we particularly focus on methods for learning from relative data. Working in a music recommendation scenario, McFee et al. [13] and Lim et al. [11] adapt music similarity models using collaborative filtering data. They use Mahalanobis metrics to describe a parametrized linear combination of content-based features, using Metric Learning to Rank (MLR) for training. The similarity is calculated in kernel space. Ellis and Whitman [3] use relative similarity data from a comparative survey on artist similarity for comparison with similarity metrics learnt from lists of similar artist from the All Music Guide<sup>2</sup>.

This study is based on a subset of the MagnaTagATune dataset [8], containing music from the Magnatune label. We use the data from the bonus round where users were asked to identify an outlier within three audio clips. Stober and Nürnberger [23] used this dataset to compare algorithms for linear and quadratic optimisation of a similarity measure based on feature weighting. They applied early fusion of the feature data followed by adapting a linear model. Their approaches have been compared to MLR and SVM by Wolff et al. [28]. By using the same features and similarity data, which are both available online, and the same SVM implementation we aim to make our results comparable to these earlier findings.

The effect of the selection of feature information and their representation for similarity learning were analysed in experiments by Wolff and Weyde [27]. These experiments showed variable results, but combining features with complementary information lead to the best learning results. Feature dimension reduction with Principal Component Analysis (PCA) can have a positive or negative effect depending on the learning model used.

In this paper we use unsupervised training with Restricted Boltzmann Machines [21] to transform the fea-

ture space. Recently, several algorithms have been developed, which are able to learn features from datasets in different domains [5, 6, 10, 9, 17, 25]. In applications to computer vision, the state-of-the-art feature learning showed similar or better performance compared to non-learning algorithms [10, 9, 17].

Schlüter and Osendorfer [18] used RBMs to model similarity regarding musical genre. They applied a Mean-Covariance RBM on processed Mel Frequency Cepstral Coefficients (MFCC) to learn local high-level features and aggregated them to feature histograms for whole songs. The similarity of songs was then quantified as distance between the songs' feature histograms using five measurement methods: cosine distance, the Euclidean metric, Manhattan distance, and symmetrized Kullback-Leibler and Jensen-Shannon divergence. Hamel and Eck [4] also used Deep Belief Networks (DBNs) for genre classification with a Gaussian kernel Support Vector Machine (SVM) and showed improvements on their baseline approach.

Nam et al. [15] used DBNs for automatic transcription of piano music using a similar SVM classifier. Their transformations of spectrogram features showed improved performance both when using the first hidden layer of an RBM and when fine tuning a DBN via backpropagation. A methodical overview for using learnt features for MIR tasks was presented by Nam et al. [16]. They further showed the effectiveness of their approach in tag classification with linear kernel SVM on the CAL500 dataset.

Schmidt et al. [19] applied DBNs to learn three types of emotion-based acoustic features. Their experimental results showed that the sort-time features learnt by DBN outperform MFCC, Chroma, Spectral Shape, ENT, and Spectral Contrast. The performance is further improved by the outputs from hidden layers of DBN trained on multi-frame and universal background model features.

Dieleman et al. [2] applied Convolutional Deep Belief Networks to learn from audio features and metadata in the 'Million Song Dataset for artist recognition, genre recognition, and key detection. In all three tasks, they first train the DBN and subsequently use it as initialization of a multilayer perceptron. As reported, their approach achieved better performance than naive Bayesian and windowed logistic regression models.

On the feature side, our approach differs from previous work in that it transforms mid-level feature representations such as chroma, timbre and genre tag informa-

<sup>2</sup><http://www.allmusic.com/>

tion instead of more low-level audio descriptors such as MFCCs or spectrogram frames. The transformations are achieved using RBMs with unsupervised learning, which are summarised in the following section.

### 3. FEATURE SPACE TRANSFORMATION WITH RESTRICTED BOLTZMANN MACHINES

A Restricted Boltzmann Machine (RBM) [21] is a two-layer connectionist system representing a joint distribution  $P(v, h)$  of states of units in visible layer  $V$  and hidden layer  $H$ . Normally, for learning feature representation, the observed data is encoded in visible layer and the outputs in hidden layer are considered as learned features.

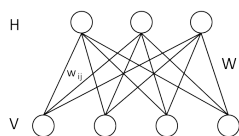


Fig. 1: Restricted Boltzmann Machine

One can to some degree reconstruct the input data using the learned features, similar to PCA and Singular Value Decomposition. However, different from those methods, learned features from RBMs are obtained using a non-linear transformation. In particular, given a state of the visible layer, a state of the hidden layer is sampled from a conditional distribution  $P(H|v) = \prod_j P(h_j|v)$ , where

$$P(h_j = 1|v) = \sigma\left(\sum_i v_i w_{ij} + b_j\right), \quad (1)$$

where  $\sigma(x) = \frac{1}{1+\exp(-x)}$  refers to the logistic sigmoid function. For reconstruction, given a state of the hidden layer, a state of the visible layer is sampled from  $P(V|h) = \prod_i P(v_i|h)$ , with:

$$P(v_i = 1|h) = \sigma\left(\sum_j h_j w_{ij} + a_i\right) \quad (2)$$

We train the RBM in an unsupervised process in which we maximize the average log-likelihood  $\hat{\ell}$  (or equivalently the product of probabilities) given a set of independent and identically distributed samples  $\mathcal{V} = \{v^{(1)}, v^{(2)}, \dots, v^{(n)}\}$

$$\hat{\ell} = \frac{1}{N} \ln(\mathcal{L}(\theta|\mathcal{V})) = \frac{1}{N} \sum_k \ln P(v^{(k)}|\theta) \quad (3)$$

with  $\theta = \{W, a, b\}$ . This can be achieved using gradient ascent. However, to compute the exact gradient of the log-likelihood it is necessary to compute the partition function  $Z$ , which is intractable. For this, the gradient ascent requires an expectation of data sampled from the model as

$$w_{ij} = w_{ij} + \eta(\langle v_i p(h_j|v) \rangle_0 - \langle v_i h_j \rangle_\infty). \quad (4)$$

Here,  $\langle \cdot \rangle_0$  is the average with regards to the data distribution,  $\langle \cdot \rangle_\infty$  is the average with respect to distribution from the model, and  $\eta$  is the learning rate.

An approximate approach to this problem is to sample the states from the model using Markov Chain Monte Carlo (MCMC). This method, however, is very slow and unstable since the model needs to perform a long and unspecified pre-sampling process before reaching an equilibrium state and generating valid samples. Hinton [5] proposed an algorithm named *Contrastive Divergence* (CD) showing how the learning can approximately minimize the divergence between data distribution and the distribution of the model even with very few steps of pre-sampling, even only 1 step (see Carreira-Perpinan and Hinton [1] for details):

$$w_{ij} = w_{ij} + \eta(\langle v_i h_j \rangle_0 - \langle v_i h_j \rangle_n). \quad (5)$$

When setting the visible layer of an RBM to the original feature values of a song, the hidden layer represents a non-linearly transformed feature space, which we evaluate for similarity learning in the experiments below. As an important hyperparameter, the number of units in the hidden layer (*hidNum*) determines the dimensionality of the new feature space, and its effect is particularly shown in figs. 3 and 4. The Matlab source used for training Restricted Boltzmann Machines is available for download<sup>3</sup>.

### 4. SIMILARITY MODELS

The similarity models we compare in this paper are based on a weighted Euclidean metric. The weighted distance of two songs' feature vectors is used as the inverse of those songs' similarity. The weighted Euclidean distance of two feature vectors  $x, y \in \mathbf{R}^N$  is defined as

$$dist(x, y) = \sqrt{\sum a_i d_i}, \quad (6)$$

$$\text{where } d_i(x, y) = (x_i - y_i)^2 \quad (7)$$

<sup>3</sup><http://mi.soi.city.ac.uk/blog/codeapps/camiraes2013>

Here, the *facet distances*  $d_i$  measure the distance of the single features. Each facet distance  $d_i(x, z)$  is assigned a weight  $a_i$ . Note that  $dist(x, y)$  only qualifies as a metric iff  $a_i > 0 \forall i$ . In other cases, the measure might still be useful as a distance measure, but lacks properties such as non-negativity and identity of points with distance 0. Stober and Nürnberger [24], Wolff et al. [28] showed that using feature specific functions to calculate facet distances  $d_i$  for different types of data in the feature vectors can improve the results and the stability of model training. This is only possible if the interpretation of a facet  $x_i$  in the feature vector is clearly defined, and therefore does not apply in a straightforward way when using RBM preprocessing of features. However, RBMs already supply a method of specialised treatment of different facets by the nature of the transformation they define. Early experiments have also shown possible further improvements through convolution of feature information, which will be explored in future work.

#### 4.1. Model Training

When modelling similarity based on user data, the contribution of each facet distance to the comparison may be different depending on the user's input. In order to satisfy the constraints given by users' similarity judgements, the weights  $a_i$  in Equation (6) are learned from the observed data. A constraint  $h(x, y, z)$  determines whether song  $x$  should be more similar to song  $y$  than to song  $z$ .

$$h(x, y, z) = \begin{cases} true & \text{if } x \text{ is more similar to } y \text{ than to } z \\ false & \text{otherwise.} \end{cases} \quad (8)$$

Given our distance measure in Equation (6), we can infer constraints on our similarity model. We indirectly optimizing the boolean functions by optimizing the weighted sum of differences between facet distances over all training triplets.

$$h(x, y, z) = \sum_i a_i (d_i(x, z) - d_i(x, y)) > 0 \quad (9)$$

Let our training data be in the form of

$$\mathcal{D} = \{d^{(k)} \mid k = 1, \dots, M\} \text{ where} \\ d^{(k)} = \{x^{(k)}, y^{(k)}, z^{(k)} \mid h(x^{(k)}, y^{(k)}, z^{(k)}) = true\}$$

with  $M$  samples and feature vectors  $x^{(k)}, y^{(k)}, z^{(k)} \in \mathbf{R}^N$ . Given a training set  $\mathcal{D}$  we want to find a weight vector  $a \in \mathbf{R}^N$  which maximizes

$$f(a) = \frac{1}{M} \sum_k \sum_i a_i (d_i(x, z) - d_i(x, y)). \quad (10)$$

#### 4.2. Gradient Ascent

This standard optimisation method has been used by Stober and Nürnberger with the MagnaTagATune dataset, and served as a good measure for baseline performance. The function  $f(w)$  in (10) is linear and its optima may be found at very large values of  $w$  if there is no constraint applied. In our experiments we use gradient ascent with regularization and early stopping to iteratively search for the optimal weights  $a_i$ . The iterative process uses the following update rule:

$$a = a + \eta(\Delta a - \gamma a), \quad \text{with} \\ \Delta a_i = \frac{\partial f(a)}{\partial a_i} = \frac{1}{M} \sum_k (d_i(x^{(k)}, z^{(k)}) - d_i(x^{(k)}, y^{(k)}))$$

#### 4.3. Support Vector Machine

The results of the gradient ascent method are compared to using Support Vector Machines for distance metric learning as introduced by Schultz and Joachims [20]. We apply this method as it has been used on the MagnaTagATune dataset for learning distance metrics in [28].

For learning a weighted distance measure with SVMs, the classifier is optimized to produce a vector of weights  $a$  that fulfils the distance constraints. Here, for each constraint  $h(x, y, z)$ , we construct a feature distance difference vector  $\delta^{(x, y, z)} \in \mathbf{R}^N$  with

$$\delta_i^{(x, y, z)} = d_i(x, z) - d_i(x, y), \quad (11)$$

$$= (x_i - z_i)^2 - (x_i - y_i)^2 \quad (12)$$

Optimization is performed as follows:

$$\begin{aligned} \min_{a, \xi} \quad & G(a) = \frac{1}{2} a^T a + c \sum_{(x, y, z)} \xi_{(x, y, z)} \quad (13) \\ \text{s.t. } \forall (x, y, z) \quad & a^T \delta^{(x, y, z)} \geq 1 - \xi_{(x, y, z)} \\ & \xi_{(x, y, z)} \geq 0 \\ & a_i \geq 0 \end{aligned}$$

Here,  $c$  determines a trade-off between regularisation and the enforcement of constraints. The slack variables  $\xi_{(x, y, z)}$  allow for some constraints to be violated whilst adding a penalty value to the optimisation result.

## 5. EXPERIMENTS

For our experiments we used the MagnaTagATune dataset, which contains audio features for over 25863 clips extracted by The Echo Nest API. It also includes human relative similarity judgements, which were collected via the MagnaTagATune game for 1019 of the clips.

For comparing music at the clip level, the audio features have been aggregated to the clip level via averaging. In order to allow for comparison with previous results, our experiments use the similarity data and cross-validation segmentation from [28]. The corresponding similarity data and audio features are available online<sup>4</sup>. For details on the extraction of features and similarity data we refer to [28]. All experiments with SVM were performed with the regularisation parameter  $c = 1$ , which was found to give good results in previous experiments. This value is kept constant to allow for comparability with experiments in [28]. We also kept the learning rate for gradient ascent constant.

### 5.1. RBM feature extraction

In this experiment we evaluate how the performance of similarity learning models is affected by using the RBM feature transformation as a preprocessing step. First, the parameters of the RBM and its unsupervised training are explored and then fixed to compare results with the data published in [28] using the same 10-fold cross-validation.

Possible parameter combinations are tested using a grid search over a predefined range of values as displayed in Table 1 with following similarity modelling, using the similarity training sets. We then use the mean training set accuracy of each tested model to choose a model and its parameters to be used for the final evaluation. Thereby, optimal parameters were selected for each of the similarity learning algorithms, and the configurations selected for testing are depicted in Table 2. Since using training accuracy for model selection is susceptible to overfitting, we apply strict regularisation during training of the models.

The “original features” used in our experiments are the same as in [28]. They contain audio features from The Echo Nest API: chroma and timbre information, as well as features derived through classification (e.g. tempo and meter). All features are aggregated to the clip level via

<sup>4</sup><http://mi.soi.city.ac.uk/datasets/ismir2012/>

Param.	Values Tested
<i>hidNum</i>	30, 50, 100, 500, 1000
<i>lrate1</i>	0.02, 0.05, 0.1, 0.5, 0.7
<i>lrate2</i>	0.1, 0.5, 0.7
<i>momentum</i>	0.05, 0.1
<i>cost</i>	0.00002, 0.01

Table 1: Values used for the RBM grid search

Param.	Approach	
	GRAD	SVM
<i>hidNum</i>	500	1000
<i>lrate1</i>	0.70	0.05
<i>lrate2</i>	0.70	0.10
<i>momentum</i>	0.05	0.10
<i>cost</i>	$2.0e - 5$	$2.0e - 5$

Table 2: Parameters chosen for gradient ascent (GRAD) and SVM in the final experiments.

averaging. Furthermore, genre and other tag information is included in binary features. Figure 2 shows the feature data used for training the RBMs.

Appr.	Features		
	Original	PCA	RBM
GRAD	70.47 / 71.68	70.54 / 70.52	73.14 / 73.28
SVM	71.20 / 83.54	70.17 / 75.29	72.18 / 80.17

Table 3: Comparison of original features and those with PCA and RBM preprocessing. Test and training set results are listed as percentages of correctly predicted similarity constraints for the configurations with the best training success. The SVM Original values are taken from [28].

Table 3 shows the performance of different feature preprocessing strategies. For gradient ascent, the result of the model with best training performance within 20 runs is reported for each RBM parametrisation. Unfortunately, this was not possible with SVM because of time constraints, and the results of single runs are displayed for this approach.

For the original features, the results for gradient ascent (GRAD) are comparable to those published in [28]. Note that this gradient ascent approach differs slightly from

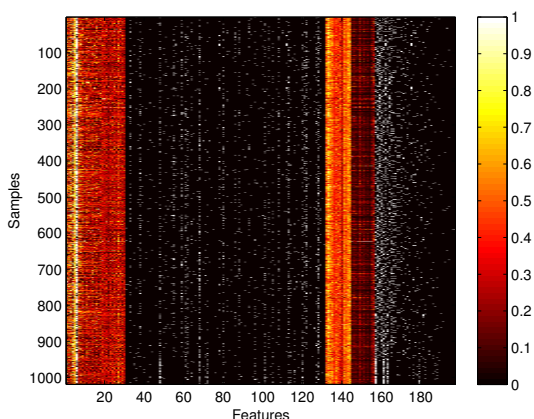


Fig. 2: Original features (from left to right: audio chroma and timbre, binary genre data, chroma and timbre variance and binary condensed tag data) for all clips used in the experiments.

that in [23] and [28], where the weights  $a_i$  are constrained to  $\sum w_i = 1$ . The SVM results for original features are reproduced from that publication. When using PCA, the results for SVM are slightly worse than in the original features, while the gradient approach does improve very little.

The RBM features significantly improve the results for all approaches, with gradient ascent the best test results, improving by 2.67% over the original features, while SVM gains 0.92%.

Figures 3 and 4 show the train and test set performances of all learning algorithms with respect to the number of hidden units in the RBM preprocessing. For these experiments configurations of the RBM have been fixed to those reported in Table 2, except for the *hidNum* parameter which is varied according to the values in Table 1. SVM reaches its highest test and training performance with the maximal number of 1000 hidden units. The test performance at 30 units is very low at 65.40% and using an output feature dimension of 1000 leads to a gain of 6.78 percentage points reaching a maximal performance of 72.18%. Gradient ascent shows a different pattern and reaches its maximum test performance of 73.14%, also the best performance in this study, with 500 hidden units.

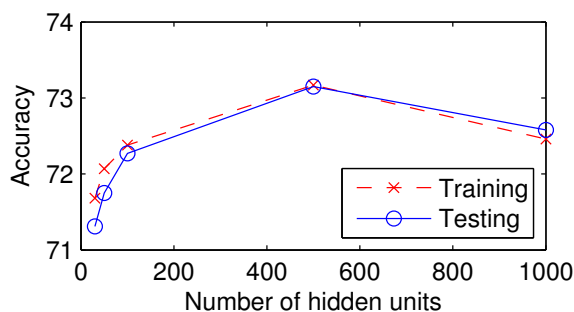


Fig. 3: Test set performances of gradient ascent with different dimensionality of RBM features.

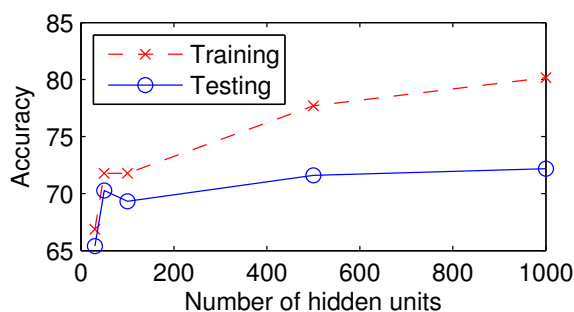


Fig. 4: Test set performances of SVM with different dimensionality of RBM features.

## 6. DISCUSSION

The results in Table 3 show a general performance gain when using Restricted Boltzmann Machines for feature preprocessing in similarity learning. Apart from the gains through transformation of the feature space, the large dimensionality of the resulting features may improve results as well: different feature dimensions lead to a different number of parameters  $a_i$ . For SVM, the best results were achieved with *hidNum* = 1000, which gives the model over 5 times more parameters than the original features (which have a dimensionality of 197). On the other hand, learning models with many parameters is also complex and requires a larger number of training examples. Across algorithms, we found no clear trend for the number of RBM features, i.e. RBM hidden layer units. Wolff and Weyde [26] compared the impact of parameter reduction using PCA, showing slightly higher performance of SVM for the models with reduced fea-

ture dimensionality. However in [27], Wolff et al found no significant performance change when reducing feature dimensionality.

In this study, the method of RBM preprocessing together with selection of the best RBM features on grounds of training performance provides an effective way to boost classification performance. When available, an additional validation set might allow for an even better selection of promising features. Our experiments show that in this way basic learning algorithms such as gradient ascent can adapt better to complex data such as the presented similarity ratings.

## 7. CONCLUSION AND FUTURE WORK

Our experiments show that transforming features using RBMs can improve both results of similarity learning with gradient ascent and Support Vector Machines on music audio.

For gradient ascent, the model achieved competitive performance to the other approaches, increasing the testing accuracy from 70.47% to 73.14%. For Support Vector Machines, the RBM features allowed for a smaller but still significant rise from 71.20% to 72.18%. Comparing to the features extracted using PCA, the features processed using RBM show better performance and more consistent improvement. These results are encouraging as they show that gains can be made with unsupervised training even when comparing to human similarity judgements which are unseen by the RBM in the training process.

For future work we are interested in discovering the similarity relations by comparing subspace distances built by combining different feature dimensions. By using validation sets, we expect to select RBMs with even better generalisation. Furthermore, we would like to apply a knowledge extraction method [22] to understand why the similarity relation can be captured by only a single layer of hidden units in the RBM.

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