DRAFT REVISED AES67-xxxx

STANDARDS AND INFORMATION DOCUMENTS

Call for comment on DRAFT REVISED AES standard for audio applications of networks - High-performance streaming audio-over-IP interoperability

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AES standard for audio applications of networks - High-performance streaming audio-over-IP interoperability

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Abstract

High-performance media networks support professional quality audio (16 bit, 44,1 kHz and higher) with low latencies (less than 10 milliseconds) compatible with live sound reinforcement. The level of network performance required to meet these requirements is available on local-area networks and is achievable on enterprise-scale networks. A number of networked audio systems have been developed to support high-performance media networking but until now there were no recommendations for operating these systems in an interoperable manner. This standard provides comprehensive interoperability recommendations in the areas of synchronization, media clock identification, network transport, encoding and streaming, session description and connection management.

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Foreword

This foreword is not part of the AES67-2013 AES standard for audio applications of networks - High-performance streaming audio-over-IP interoperability

This document was developed in project AES-X192, in the SC-02-12-H task group on high-performance streaming audio-over-IP interoperability, under the leadership of Kevin Gross.

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Foreword to second edition, 2015

This revision includes minor changes identified during 'plugfest' testing in October 2014 and was developed in task group SC-02-12-M. It includes updated references to RFC 7273, and clarifications in 6.3, 8.1, and 8.5.

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Foreword to third edition, 2017

This revision contains clarifications and minor corrections and adds a Protocol Implementation Conformance Statement (PICS) as Annex G. A new sender keep-alive recommendation, has been added to clause 6.3. Minor clarifications and corrections include a specification of MTU requirements in the presence of allowed (but not recommended) additional information in the RTP header and correcting SDP examples in clause 8.5 to match an erratum issued by the IETF on RFC 7273. These revisions were developed in task group SC-02-12-M.

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Note on normative language

In AES standards documents, sentences containing the word "shall" are requirements for compliance with the document. Sentences containing the verb "should" are strong suggestions (recommendations). Sentences giving permission use the verb "may". Sentences expressing a possibility use the verb "can".

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DRAFT REVISED

AES standard for audio applications of networks - High-performance streaming audio-over-IP interoperability

0 Introduction

0.1 General

High-performance media networks support professional quality audio (16 bit, 44,1 kHz and higher) with low latencies (less than 10 ms) compatible with live sound reinforcement. The level of network performance required to meet these requirements is available on local-area networks and is achievable on enterprise-scale networks but is generally not available on wide-area networks or the public internet.

The most recent generation of these media networks use a diversity of proprietary and standard protocols. Despite a common basis in Internet Protocol, the systems do not interoperate.

This standard provides specific recommendations for interoperability. The standard focuses on defining how existing protocols are used to create an interoperable system. No new protocols have been developed to achieve this.

The standard is expected to be useful for commercial audio applications including fixed and touring live sound reinforcement. It is also expected to be useful for distribution within broadcast, music production and post-production facilities.

This standard depends on established network protocols (see clause 2). These protocols may include additional options that are not required by this standard. Implementations of AES67 should tolerate these additional options.

0.2 Patents

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1 Scope

This standard defines an interoperability mode for transport of high-performance audio over networks based on the Internet Protocol. For the purposes of the standard, high-performance audio refers to audio with full bandwidth and low noise. These requirements imply linear PCM coding with a sampling frequency of 44,1 kHz and higher and resolution of 16 bits and higher. High performance also implies a low-latency capability compatible with live sound applications. The standard considers latency performance of 10 milliseconds or less.

2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

AES11 - AES recommended practice for digital audio engineering - Synchronization of digital audio equipment in studio operations; Audio Engineering Society, New York, NY., US.

IEEE 1588-2008 - *IEEE Standard for a Precision Clock Synchronization Protocol for Networked Measurement and Control Systems*, July 2008, Institute of Electrical and Electronics Engineers (IEEE), US.

RFC 768 - User Datagram Protocol", Internet Engineering Task Force

RFC 791 – Internet Protocol, Internet Engineering Task Force

RFC 1112 - Host Extensions for IP Multicasting, Internet Engineering Task Force

RFC 2236 - Internet Group Management Protocol, Version 2, Internet Engineering Task Force

RFC 2474 – Definition of the Differentiated Services Field (DS Field) in the IPv4 and IPv6 Headers, Internet Engineering Task Force

RFC 2616 - Hypertext Transfer Protocol - HTTP/1.1, Internet Engineering Task Force

RFC 2974 – Session Announcement Protocol, Internet Engineering Task Force

RFC 3190 - RTP Payload Format for 12-bit DAT Audio and 20- and 24-bit Linear Sampled Audio, Internet Engineering Task Force

RFC 3261 - SIP: Session Initiation Protocol, Internet Engineering Task Force

RFC 3264 - An Offer/Answer Model with the Session Description Protocol (SDP), Internet Engineering Task Force

RFC 3376 - Internet Group Management Protocol, Version 3, Internet Engineering Task Force

RFC 3550 - RTP: A Transport Protocol for Real-Time Applications, Internet Engineering Task Force

RFC 3551 - RTP Profile for Audio and Video Conferences with Minimal Control, Internet Engineering Task Force

RFC 4566 - Session Description Protocol, Internet Engineering Task Force

RFC 5939 - Session Description Protocol (SDP) Capability Negotiation, Internet Engineering Task Force

RFC 7273 – RTP Clock Source Signalling, Internet Engineering Task Force

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3 Definitions and abbreviations

For the purposes of this document, the following terms, definitions, and abbreviations apply.

3.1

Audio stream

See RTP stream.

3.2

Audio Video Bridging

AVB

describes enhanced Ethernet networks specified in IEEE 802.1BA, IEEE 802.1Q-2011 and IEEE 802.1AS.

3.3

Boundary Clock

A clock that has multiple Precision Time Protocol (PTP) ports in a domain and maintains the timescale used in the domain. It may serve as the source of time, that is, be a master clock; and may synchronize to another clock, that is, be a slave clock. See IEEE 1588-2008.

3.4

Bvte

A unit comprising 8 bits of data. Over IP networks, data is transported in units of bytes.

3.5

Digital Audio Reference Signal

DARS

an audio clock signal defined in AES11.

3.6

CSRC

The contributing source (CSRC) is the source of a stream of RTP packets that has contributed to the combined stream produced by an RTP mixer

3.7

DiffServ

Differentiated services (DiffServ) is a system for classifying traffic and providing quality of service (QoS) on an IP network.

3.8

DSCP

The differentiated services code point (DSCP) is a 6-bit field in the IP packet header that is used for classification purposes. DSCP is part of the differentiated services architecture.

3.9

End-to-end Transparent Clock

A transparent clock that supports the use of the end-to-end delay measurement mechanism between slave clocks and the master clock. See IEEE 1588-2008.

3.10

Ethernet

Ethernet is a physical and data link layer family of computer networking technologies for local area networks (LANs). Ethernet uses a bus or star topology and supports data transfer rates from 10 Mbps, through 100 Mbps (Fast Ethernet) and onto Gigabit Ethernet, supporting data rates of 1 gigabit (1,000 megabits) per second.

3.11

EUI-64

A 64-bit globally unique identifier formed by combining a registered 24 or 36-bit company identifier and a company unique device identifier. The EUI-64 is similar to the EUI-48 which is used to assign Ethernet media access control (MAC) addresses.

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3.12

Grandmaster identifier

GMID

an EUI-64 used in IEEE 1588 and IEEE 802.1AS synchronization standards to uniquely identify the grandmaster serving a synchronization domain.

3.13

Grandmaster

The master source of synchronization for clock distribution via PTP. The grandmaster is a network device and is identified by an EUI-64.

3.14

IEEE

Institute of Electrical and Electronics Engineers is a professional association dedicated to advancing technological innovation and excellence. The IEEE publishes a wide range of communications standards.

3.15

IETF

Internet Engineering Task Force is the volunteer standards-developing organization responsible for the Internet Protocol suite.

3.16

IGMP

Internet Group Management Protocol (IGMP) is a communications protocol used by hosts to report their multicast group memberships to IPv4 routers.

3.17

Internet Protocol

ΙP

the network layer protocol commonly used to transport data on networks built through interconnection of one or more local-area networks.

3.18

IPv4

Internet Protocol version 4 is the most widely deployed version of the protocol and is widely used on the Internet and on local area networks (LANs).

3.19

IPv6

Internet Protocol version 6 is the most recent revision of the Internet Protocol and is intended to replace IPv4 eventually.

3.20

Link offset

Link offset specifies the amount of time media spends on the network and in buffers at the sender and receiver as illustrated in figure 1. Link offset is also known as *network latency* or *playout delay*.

3.21

Media clock

The clock used by senders to sample and receivers to play digital media streams. The media clock for audio streams reads in units of samples. The relationship between media clock and network clock is defined in 5.

3.22

Media packet

One of the data packets carrying media data as part of a media stream. A media packet contains one or more samples for one or more audio channels.

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3.23

Media stream

See RTP stream.

3.24

Maximum transmission unit

MTU

The size of the IP packet, measured in bytes, that can be transferred using a specific data link connection. The MTU for an Ethernet data link is 1500 bytes.

3.25

Network clock

The time delivered by the network synchronization mechanism defined in 4. The network clock reads in units of seconds.

3.26

Network layer

The network layer is layer 3 of the OSI model and is responsible for packet forwarding and routing of variable-length data sequences from a source to a destination.

3.27

OSI model

The Open Systems Interconnect Model characterizes and standardizes the functions of a communications system in terms of abstraction layers.

3.28

Packet time

The real-time duration of the media data contained in a media packet. For example, a packet containing 12 samples of 48 kHz audio has a packet time of $12 \div 48$ kHz = 250 microseconds.

3.29

Peer-to-peer Transparent Clock

A transparent clock that, in addition to providing Precision Time Protocol (PTP) event transit time information, also provides corrections for the propagation delay of the link connected to the port receiving the PTP event message. In the presence of peer-to-peer transparent clocks, delay measurements between slave clocks and the master clock are performed using the peer-to-peer delay measurement mechanism. Source: IEEE 1588-2008.

3.30

Precision time protocol

PTP

The general class clock distribution protocol standardized in IEEE 1588-2002, IEEE 1588-2008 and IEEE 802.1AS-2011.

3.31

Quality of service

QoS

describes a system for classifying, marking and delivering traffic across a network in accordance with its performance requirements.

3.32

Receiver

A network device with ability to receive at least one media stream from the network.

3.33

Request for Comment

RFC

Request for Comments are memorandums published by the IETF relevant for the working of the Internet and Internet-connected systems. RFCs are referenced by number. RFC 791, for example, defines the Internet Protocol version 4 (IPv4).

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3.34

RTCP

A companion protocol of the Real-time Transport Protocol (RTP), providing statistics and control information for RTP media packets.

3.35

Real-time Transport Protocol

RTP

is defined in RFC 3550 and provides a means for applications to organize, mark and transport their media packets using UDP/IP networking.

3.36

RTP clock

Timestamps are carried in RTP packets containing stream data. Each stream has its own RTP clock. There is a constant offset between the media clock and the RTP clock (see 8.2).

3.37

RTP session

An RTP session is a media connection between sender and receiver. RTP sessions may be unicast or multicast. In teleconferencing RTP applications, multicast sessions may have multiple senders and receivers. However, under this standard, a session is allowed only one sender (see 7.6).

3.38

RTP stream

An RTP stream is a sequence of RTP packets with media data sent at regular interval. A stream may contain multiple channels. There may be multiple media streams per RTP session.

3.39

Session Description Protocol

SDP

a format for describing RTP sessions and their operating parameters including network addressing, encoding format and other metadata. SDP is defined in RFC 4566.

3.40

Sender

A network device with ability to source at least one media stream onto the network.

3.41

Session

See RTP session.

3 42

Session Initiation Protocol

SIP

a telecommunications connection management protocol defined in RFC 3261.

3.43

SIP URI

A SIP URI is a URI used by SIP to identify user agents. SIP URI take the form sip:<user>@<domain> or sips:<user>@<domain>. See 10.1.1.

3.44

Slave Clock

A clock that is synchronized to a master clock (the provider of time) within an environment that uses the Precision Time Protocol (PTP). A slave may, in turn, be a master to another clock and may simultaneously be a boundary clock.

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3.45

Stream

See RTP stream.

3.46

Transport Layer Security

TLS

a cryptographic protocol for secure communication over IP networks.

3.47

Transparent clock

A device that measures the time taken for a Precision Time Protocol (PTP) event message to transit the device and provides this information to clocks receiving this PTP event message. See IEEE 1588-2008. See also: end-to-end transparent clock; peer-to-peer transparent clock.

3.48

Transport layer

The network layer is layer 4 of the OSI model and provides end-to-end communication services for network applications.

3.49

User datagram protocol

UDP

constitutes a simple transport layer for the IP network layer. Defined in RFC 768.

3.50

Uniform resource identifier

HRI

an identifier for a network resource. An identification URI enables interaction with the resource over a network.

3.51

User agent

A SIP endpoint device such as a VoIP telephone.

3.52

Virtual LAN

VLAN

A single layer-2 network may be partitioned to create multiple distinct broadcast domains, which are mutually isolated so that packets can only pass between them via one or more routers; such a domain is referred to as a Virtual Local Area Network.

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4 Synchronization

4.0 General

The ability for network participants to share an accurate common clock distinguishes high-performance media streaming from its lower-performance brethren such as Internet radio and IP telephony. Using a common clock, receivers anywhere on the network can synchronize their playback with one another. A common clock allows for a fixed and determinable latency between sender and receiver. A common clock assures that all streams are sampled and presented at exactly the same rate. Streams running at the same rate may be readily combined in receivers. This property is critical for efficient implementation of networked audio devices such as digital mixing consoles.

Synchronization of a common clock shall be achieved using IEEE 1588-2008 Precision Time Protocol (PTP).

IEEE 1588-2008 is profiled for use in different synchronization applications. A profile describes protocol attributes, available options and required device performance. IEEE 1588-2008 specifies default profiles for delay request-response (IEEE 1588-2008 annex J.3) and peer-to-peer (IEEE 1588-2008 annex J.4) mechanisms.

Devices, with the exception of certain AVB devices (see below), shall support the IEEE 1588-2008 default profiles. Devices supporting the default profiles shall use IPv4 encapsulation as described in IEEE 1588-2008 annex D.

As a single exception, devices that use the AVB synchronization mechanism described in 4.3, and that need to be connected to an AVB network in order to accomplish media streaming, are not required to implement the IEEE 1588-2008 default profiles.

4.1 IP network synchronization

Devices on standard IP networks should use the media profile defined in annex A to assure adequate performance for all applications. Devices may use the default profiles on IP networks but should recognize that lock time and accuracy will be degraded.

4.2 IEEE 1588 network synchronization

On networks built using switches with IEEE 1588-2008 capabilities (boundary clocks or transparent clocks), adequate performance for audio transport is achieved using the appropriate default profile.

NOTE Due to performance constraints, some IEEE 1588 network equipment may be unable to support the media profile.

4.3 AVB network synchronization

Enhanced Ethernet networks, as specified in IEEE 802.1Q-2011, commonly known as Audio Video Bridging (AVB), deliver synchronization using IEEE 802.1AS. IEEE 802.1AS defines an IEEE 1588-2008 profile. AVB networks may use their native IEEE 802.1AS synchronization profile in preference to the default profiles or media profile. Methods for building heterogeneous synchronization networks using IEEE 1588-2008 and IEEE 802.1AS-2011 are described in annex D.

5 Media clocks

The media clock is used by senders to sample and by receivers to play digital media streams. The media clock has a fixed relationship to the network clock. The media clock and the network clock shall share the IEEE 1588 epoch of 1 January 1970 00:00:00 TAI, as defined in IEEE 1588-2008 clause 7.2.2. Digital audio to be carried on the network shall be sampled according to the media clock, or sampling-frequency converted to conform to the media clock.

NOTE With the introduction of leap seconds at the beginning of 1972, the offset between TAI and UTC became an integer number of seconds. This integer relationship exists for timestamps in 1972 and thereafter. The relationship is non-integral for timestamps in 1971 and prior. This leads to the alternate IEEE 1588 epoch definition given in IEEE 1588-2008 clause

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7.2.2 of 31 December 1969 23:59:51.999918 UTC. Again, this non-integral UTC offset exists only for network clock time prior to 1972.

The media clock shall advance at an exact rate with respect to the network clock. The rate of the media clock shall be the same as the audio sampling frequency.

This standard supports three sampling frequencies: 44,1 kHz, 48 kHz and 96 kHz (see 7.1). The media clock for an audio stream sampled at 48 kHz advances exactly 48 000 samples for each elapsed second on the network clock, for example. The value of the media clock shall be 0 at the IEEE 1588 epoch and change to 1 exactly one sample period after the epoch.

RTP clocks operate with a constant offset with respect to the media clock. The offset shall be conveyed through session description (see 8.3) on a per-stream basis.

In network protocols and management interfaces, RTP and media clocks are typically represented as 32-bit integers. The media clock for a 48 kHz stream will overflow its 32-bit representation approximately every 24,86 hours. To assure proper phasing with respect to the network clock, a media clock using 32-bit representation shall accurately take into account all such overflows (rollovers) between the epoch and the current time.

6 Transport

6.0 General

Transport aspects describe how media data, once encoded and packetized, is transported across the network. In terms of the OSI model, this clause defines operation on layer 3 (network layer) and layer 4 (transport layer). The standard does not specify how interoperability is achieved at lower layers in the model. It is assumed that best practices in transport of IP over the network technologies in question are employed.

NOTE Carriage of IP over Ethernet is described in RFC 894 - A Standard for the Transmission of IP Datagrams over Ethernet Networks.

6.1 Network layer

Media packets shall be transported using IP version 4 as defined in RFC 791.

NOTE 1 Although care has been taken in design of this standard to facilitate future support for IPv6, support for IPv6 is outside the scope of this revision of the standard.

Despite a requirement in RFC 791, receivers are not required under this standard to support reassembly of fragmented packets. A receiver that does not support reassembly shall ignore IP packet fragments.

Senders may set the Don't Fragment flag (DF) bit in the IP header of outgoing media packets. In the event that a packet marked DF needs to be fragmented by the network, it will instead be dropped and an ICMP "Too Big" message will be sent back to the sender. Senders should terminate transmission of the offending stream in response to receipt of an ICMP "Too Big" message.

Multicast messaging, such as that used for synchronization, shall be accomplished using IP multicasting as described in RFC 1112.

NOTE 2 Additional tutorial information on IP multicasting is available in RFC 3170.

To ensure that desired multicasts are received and to allow the network to filter undesired multicasts, all devices shall support IGMPv2 as defined in RFC 2236 and may support IGMPv3 as defined in RFC 3376.

NOTE 3 IGMPv2 support is required because IGMPv3 devices operating on an IGMPv2 network experience a two minute startup delay looking for IGMPv3 services on the network.

NOTE 4 RFC 2236 and RFC 3376 include backwards-compatibility requirements. A device supporting IGMPv2 is able to correctly operate on a network supporting IGMPv1 or IGMPv2. A device supporting IGMPv3 is able to correctly operate on a network supporting IGMPv1, IGMPv2 or IGMPv3.

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Devices shall use IGMP to request reception of any multicasts required. These include receipt of IEEE 1588 synchronization messages (see IEEE 1588-2008 clause D.3), media streams using multicast addressing (see 7.6) and also messages of other application protocols that may be used on the device, such as discovery (clause 9), that use multicast messaging.

NOTE 5 IGMP registration data can be purged by the network in some routing reconfiguration scenarios. Such a purge may result in an interruption of streamed data. An effective method of expediting restoration of service is to retransmit IGMP membership reports. This can be achieved by closing and immediately reopening any affected multicast network sockets.

Senders shall use IGMP to request receipt of any multicast media packets they are going to send before starting to send such packets.

NOTE 6 By making this request, senders will not actually receive the packets they are sending, Rather, the purpose of this requirement is to prevent unnecessary flooding of multicast media data. Some IGMP snooping implementations flood any multicast which has no registered group members.

NOTE 7 This requirement is normally covered by a sender's desire to receive RTCP messages. However, under RFC 3550, devices are strongly encouraged but not absolutely required to send or receive RTCP packets.

6.2 Quality of service

On a network shared with unregulated non-real-time traffic, time-critical media traffic generally requires prioritized handling known as QoS. In order to facilitate the implementation of suitable QoS in the network, devices shall implement the DiffServ method as described in RFC 2474. DiffServ uses the DSCP field in each IP packet header to mark packets according to their traffic class so that the network can easily recognize packets that need to be treated preferentially.

Minimally the three traffic classes described in table 1 shall be supported. Devices shall tag outgoing traffic with an appropriate DSCP value. Devices should use the default values for the DSCP field as given in table 1, but traffic may be marked with alternate DSCP values as provided by a network administrator or user through a management interface. Senders may be configured to use the same DSCP values for multiple classes - this has the effect of combining classes. Devices are not required to implement a management interface and may use default values exclusively.

Media streams that require very low delivery latency may not traverse a network reliably when transported in the same QoS class with media streams using longer packet times. In order to differentiate media streams with different requirements, senders may be configured to use classes in addition to those shown in table 1.

| Class name | Traffic type | Default DiffServ class (DSCP decimal value) |
|----------------|--|---|
| Clock | IEEE 1588-2008 Announce, Sync, Follow_Up, Delay_Req, Delay_Resp, Pdelay_Req, Pdelay_Resp and Pdelay_Resp_Follow_Up packets | EF (46) |
| Media | RTP and RTCP media stream data | AF41 (34) |
| Best effort | IEEE 1588-2008 signaling and management messages. Discovery and connection management messages. | DF (0) |

Table 1 - QoS classes and DiffServ associations

NOTE 1 The DSCP markings on packets do not define any particular behavior of network devices or imply particular policies the network must implement. As a security measure, a network may even ignore incoming DSCP markings in which case it may distinguish and prioritize the traffic through other means (for example, UDP port number, IP addressing). Such network issues are outside the scope of this standard, although annex B provides some informative guidelines for network administrators.

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NOTE 2 This standard makes no specific recommendation as to DSCP marking of traffic outside the scope of this standard. Traffic generated by other applications is marked as DF (0) by most systems, a situation compatible with this standard.

Senders should mark outgoing RTCP packets with the same DSCP value as the respective RTP stream packets. Receivers should mark outgoing RTCP packets with the same DSCP value they would use on RTP packets if transmitting a similar stream.

Receivers shall make no assumptions about class associations from DSCP markings on received packets.

NOTE 3 DSCP markings may be changed in route by the network or assignments may be reconfigured at the sender without the receiver's knowledge.

6.3 Transport layer

The transport layer provides end-to-end communications between devices on a network. The layer handles issues of packet loss and reordering and implements multiplexing so that a single network connection can serve multiple applications on the end station.

Devices shall use Real-time Transport Protocol as defined in RFC 3550. Devices shall operate in accordance with RTP Profile for Audio and Video Conferences with Minimal Control as defined in RFC 3551. Devices should use the default ports allocated for RTP: 5004 for RTP and 5005 for RTCP (see RFC 3551, section 8). Senders may use other or additional ports. Receivers shall support use of other or additional ports by corresponding senders.

Devices shall use UDP as defined in RFC 768 for transport of RTP.

Fragmentation is undesirable and, under this standard, receivers are not required to perform reassembly (6.1). The standard 1500-byte Ethernet MTU is assumed. To prevent fragmentation through a standard Ethernet infrastructure when using IPv4, and to assure future compatibility with IPv6, the maximum allowed RTP payload size shall be 1440 bytes.

NOTE 1 On connections offering lower MTU than Ethernet's 1500 bytes, senders may wish to use a smaller maximum payload than specified here.

Encrypted streaming using TLS, while supported in the RTP protocol suite, is not supported as part of this interoperability standard.

Senders should not include contributing source (CSRC) identifiers in the RTP header. Senders should not add RTP header extensions (RFC 3550 clause 5.1). However, as per RFC 3551, receivers shall tolerate the presence of CSRC identifiers and header extensions.

If senders do include header extensions or CSRCs, the 1440 byte maximum allowed payload shall be adjusted downwards by the size of the added header material so as to avoid fragmentation through a standard Ethernet infrastructure.

Both senders and receivers should transmit RTCP messages as specified in RFC 3550 clause 6. Senders and receivers should allocate RTCP bandwidth as recommended in RFC 3551 clause 2 (RTCP report interval).

Unicast senders should monitor connectivity to their respective receivers in such a way as to detect failure of the receiver, and stop transmission, within 60 seconds.

NOTE 2 Senders that continue their unicast transmissions to a missing receiver unnecessarily consume network resources, and can generate excessive ARP traffic and potentially have their transmissions flooded to all devices on the network segment.

If a receiver implements RTCP as recommended by RFC 3550, RTCP receiver reports are generally sufficient to achieve the recommended monitoring. For monitoring of receivers that do not implement RTCP, senders may use any other monitoring means available to them including any of the following techniques.

- SIP session timers as described in RFC 4028
- SIP OPTION ping (see IETF draft-jones-sip-options-ping)

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• ICMP Echo request (ping, see RFC 792)

7 Encoding and streaming

7.0 Introduction

Encoding describes the means in which audio is digitized and formatted into the sequence of packets that constitutes a stream.

7.1 Payload format and sampling rate

Payload format defines audio sample encodings. The following payload formats are supported:

- L16 16-bit linear format defined in RFC 3551 clause 4.5.11
- **L24** 24-bit linear format defined in RFC 3190 clause 4

All devices shall support 48 kHz sampling rate. Devices should support 96 and 44,1 kHz sampling rates. See also AES5.

When operating at 48 kHz sampling rate:

- 1. Receivers shall support both L16 and L24 encodings
- 2. Senders shall support either L16, or L24, or both encodings

When operating at 96 kHz sampling rate:

1. Both senders and receivers shall support L24 encoding

When operating at 44,1 kHz sampling rate:

2. Both senders and receivers shall support L16 encoding

While all devices shall be able to support 48 kHz sampling frequency, they are not required to accept 48 kHz connections at all times. They may, for instance, have a global sample frequency configuration and only accept 48 kHz connections when the user selects global 48 kHz mode. Although not required, devices may support multiple sampling frequencies simultaneously.

Devices may support L16 at 96 kHz and other audio format combinations defined in RFC 3551 and RFC 3190. However, sampling rate and payload combinations beyond those defined above are outside the scope of this standard.

NOTE 1 The indication of the format and sampling rate in use for a given stream is given by a combination of the payload type field in the RTP header (RFC 3550 clause 5.1) and description information associated with the stream (see 8).

NOTE 2 While RFC 3190 mentions an "emphasis" signaling parameter, emphasis is generally considered to be a legacy issue dating back to the early 1980s. Emphasis is no longer generally used in digital audio signals and it is not expected to be used in these inter-operable networked streams.

7.2 Packet time

7.2.0 General

Packet time is the real-time duration of the media data contained in a media packet. Given the sampling rate and packet time, the number of samples per packet can be calculated.

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Short packet times allow for lower latency but introduce overhead and high packet rates that may overtax some devices or networks. Long packet times imply higher latency and require additional buffering which may not be available on memory-constrained devices.

Packet time is determined by the sender, is specified in the session description (see 8.1) and negotiated through connection management (see 10). Senders shall not change packet time for the duration of a session. Although not required, receivers may adapt to packet time changes during a session. To enable interoperation with standard RTP implementations, receivers should not rely on the presence or accuracy of any packet time description. Receivers should be able to determine packet time based on the timestamps in received packets.

Interoperability is addressed by the requirement that devices implement the 1-millisecond packet time defined in 7.2.1. Further interoperability is encouraged through additional packet time recommendations in 7.2.2.

Product documentation for a device shall indicate which packet times are supported in send and receive directions.

7.2.1 Required packet time

A packet time of 1 millisecond offers the widest possible interoperability and compatibility with audio and network equipment.

Senders shall be able to load each audio packet with 48 samples of audio data when operating at a sampling frequency of 48 kHz or 44,1 kHz and 96 samples when operating at a sampling frequency of 96 kHz. Receivers shall be able to receive and decode 48-sample packets when operating at a sampling frequency of 48 kHz or 44,1 kHz, and 96-sample packets when operating at a sampling frequency of 96 kHz.

While all devices shall support the packet time requirements specified above, they are not required to accept these connections at all times. They may, for instance, have a global packet time configuration and only accept these connections when so configured. Although not required, devices may support multiple packet times simultaneously.

7.2.2 Recommended packet times

For enhanced interoperability over a range of applications, senders and receivers should support one or more of the other packet times listed in table 2.

Senders and receivers may support additional packet times. Maximum packet time is limited by network MTU as described in 6.3.

Packet time **Packet Packet Packet Notes** samples samples samples (48 kHz) (96 kHz) (44,1 kHz) "125 microseconds" 6 12 6 Compatible with class A AVB transport "250 microseconds" 12 24 12 High-performance, low-latency operation. Interoperable with class A and compatible with class B AVB transport. "333 microseconds" 16 Efficient low-latency operation 16 32 "1 millisecond" 48 96 48 Required common packet time for all devices adhering to this standard (see 7.2.1)

Table 2 - Required and recommended packet times

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| "4 milliseconds" | 192 | n.a. | 192 | For applications desiring interoperability with EBU Tech 3326 |
|------------------|-----|------|-----|---|
| | | | | or transport over wider areas or on |
| | | | | networks with limited QoS capability |

NOTE 96 kHz is not discussed in EBU Tech 3326. MTU restrictions of clause 6.3 limit a 96 kHz audio stream using 4-ms packet time to a single channel.

7.3 Stream channel count

The maximum number of channels per stream is limited by the packet time, encoding format and network MTU as described in 6.3.

Receivers shall support reception of streams with 1 to 8 audio channels. Receivers may support streams with more than 8 channels. Senders shall be able to offer at least one stream with 8 channels or fewer. Senders may support streams with more than 8 channels.

Format, sampling rate Packet time Maximum channels per stream 125 microseconds L24, 48 kHz 80 250 microseconds L16, 48 kHz 60 250 microseconds 40 L24, 48 kHz L24, 48 kHz 333-1/3 microseconds 30 L24, 96 kHz 250 microseconds 20 L24, 48 kHz 1 millisecond 10 L24, 48 kHz 4 milliseconds

Table 3 - Examples: maximum channel capacities per stream

NOTE- Although bundling multiple channels in a stream can improve network and processing efficiency, it is recommended that bundling be used primarily in service of the application. Channels of related material (for example, stereo or surround sound) are good candidates for bundling. Bundling of unrelated channels destined for different receivers in an effort to reduce network overhead is discouraged as this complicates media routing configuration.

7.4 Link offset

Link offset describes the latency through a media network. It is defined as the difference in time between when audio enters the sender (*ingress time*) and when it leaves the receiver (*egress time*).

Ingress time is referenced at ingress to the sender network system. RTP packets are marked with origination timestamps in the timestamp field (RFC 3550 clause 5.1) based on this reference point. Egress time is referenced at egress from the receiver network system. Link offset is therefore the time difference between ingress at the sender and egress at the receiver. Link offset and ingress and egress reference points are illustrated in figure 1.

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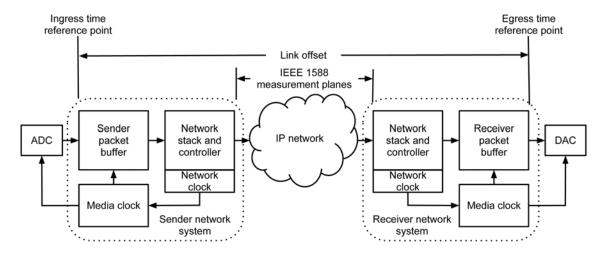


Figure 1 - Example network illustrating link offset and ingress and egress reference points

Link offset is determined at the receiver and is dependent on multiple factors, including packet time, propagation and queuing delays through the network, packet handling in the devices and buffering at the receiver. A receiver should attempt to maintain a constant link offset. At the same time it is recognized that unexpected changes to network conditions may require changing the buffering at the receiver resulting in a change of the link offset. The link offset and any changes in link offset should be communicated to the management entity within the receiver, if present.

NOTE 1 Minimum possible link offset is packet time (see 7.2) plus network forwarding time. Forwarding time for a minimum-sized packet on a point-to-point gigabit Ethernet connection can be as low as 0,5 microseconds. Minimum link offsets in an actual implementation under realistic network conditions will approach twice the packet time and beyond.

NOTE 2 Future work may specify a link offset management mechanism which is expected to require additional buffering and reporting of link offset to a central latency management server on the network and means for receivers to adjust link offset based on commands from the latency management server. See RFC 7272 for details.

7.5 Sender timing and receiver buffering

Buffering at the receiver is required to absorb jitter generated by packetization, network delivery and in the sender or receiver's network stacks and controllers. The receiver's buffer must accommodate media for the duration of the link offset minus the minimum delivery time between sender and receiver. The sender's packet buffer must accommodate packet time plus any variation introduced by the sender's network stack and controller. If buffering is too short, data may not arrive in time to be played, resulting in audio dropouts. Longer buffering improves robustness but introduces additional latency.

Receivers shall have a buffer capacity at least 3 times the packet time. Receivers should have a buffer capacity at least 20 times the packet time or 20 ms whichever is smaller.

Senders nominally send packets associated with a stream at packet time intervals. Senders should transmit at the nominal transmission time with a variation of 1 packet time or less. Senders shall transmit data at the nominal transmission time with a variation of no more than 17 packet times or 17 ms whichever is smaller.

The above requirements are designed to allow a range of implementations from hardware to applications running on desktop operating systems. Additional buffering and more accurate transmission timing are encouraged and will produce improved robustness and interoperability.

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7.6 Multicasting

Multicasting of stream data allows for efficient one-to-many distribution of audio. Multicasting is also an important component in simplified connection management described in 10.2 in which a sender multicasts a stream and receivers discover and then simply listen to the stream in progress.

Receivers shall be able to receive multicast and unicast streams.

Although RTP supports many-to-many connections, under this standard it is assumed that only a single device sends per multicast address.

Where used, multicasting shall use administratively scoped multicast addresses in the range 239.0.0.0 to 239.255.255.255. This range may be subdivided by network administrators and a subset allocated for use by media networking.

The destination address used for a particular stream shall be configured through the management interface at the sender. It is assumed that each stream will be assigned a unique destination address within the scope. The nature of the management interface and the allocation scheme used are outside the scope of this standard.

NOTE Additional best-practice information on IP administratively-scoped multicasting is available from the IETF in RFC 2365 - Administratively Scoped IP Multicast.

8 Session description

8.0 General

Session descriptions are used by discovery (see 9) and connection management (see 10) to specify critical information about each stream including network addressing (see 6), encoding format (see 7) and origination information.

SDP as specified in RFC 4566 shall be used to represent the sessions for connection management. Interoperability imposes additional SDP requirements and recommendations as set out in the following clauses.

8.1 Packet time

Packet time is described with two SDP attributes defined in RFC 4566.

a=ptime:<milliseconds>[.<milliseconds decimal>]

a=maxptime:<milliseconds>[.<milliseconds decimal>]

Signaled packet time multiplied by sampling frequency rounded to the nearest integer indicates the number of samples in each packet. The packet time descriptions shall be given with error less than half a sample period so that the calculated number of samples per packet rounds to the intended integer value. In many cases, this requires the inclusion of the <milliseconds decimal> in the description. Where <milliseconds decimal> is not required to accurately convey packet time, it shall be omitted from signaling.

Table 4 gives valid examples for the descriptions of packet times supported in 7.2. Packet times in descriptions shall be interpreted as a decimal representation. Values outside those enumerated in table 4 shall be correctly interpreted.

Table 4: Example, packet time signaling

| | Sample Frequency | | | |
|--------------------|------------------------|------|------|--|
| Packet Time | 48 kHz 96 kHz 44,1 kHz | | | |
| "125 microseconds" | 0,12 | 0,12 | 0,13 | |
| "250 microseconds" | 0,25 | 0,25 | 0,27 | |

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| "333 microseconds" | 0,33 | 0,33 | 0,36 |
|--------------------|------|------|------|
| "1 millisecond" | 1 | 1 | 1,09 |
| "4 milliseconds" | 4 | 4 | 4,35 |

Descriptions shall include a ptime attribute indicating the desired packet time. If more than one packet time is supported, a maxptime indicating the maximum packet time permitted shall be provided. The interoperable values for the <milliseconds> parameter for both ptime and maxptime are indicated in table 2.

The requirements of this description imply that the shorter packet time is always the preferred packet time. To override the implied assumption that a shorter packet time is always the preferred packet time, the capability negotiation attributes of RFC 5939 may be used to enumerate the supported packet times and order of preference.

If the range of packet times supported includes more than two of the standard packet times (table 2), the description should use the capability negotiation attributes of RFC 5939 to enumerate the supported packet times and order of preference.

NOTE The non-integral-millisecond descriptions may not be correctly understood by connection management partners not in compliance with this standard. The description may need to be confined to integer <millisecond> values when attempting connection to such partners.

8.2 Clock source

The ts-refclk attribute specifies the network clock reference used by the stream. ts-refclk supports specification of three versions of PTP in addition to other clock sources. The network clock source for each stream described shall be specified with one or more ts-refclk attributes as specified in RFC 7273.

The following examples illustrate use of the attribute within the scope of synchronization options available in this standard.

EXAMPLE 1 using IEEE 1588-2008 network clock as discussed in 4.1 or 4.2. The GMID in this example is 39-A7-94-FF-FE-07-CB-D0 and the domain is 0:

```
a=ts-refclk:ptp=IEEE1588-2008:39-A7-94-FF-FE-07-CB-D0:0
```

EXAMPLE 2 using an IEEE 802.1AS network clock as discussed in 4.3. The GMID in this example is 39-A7-94-FF-FE-07-CB-D0:

```
a=ts-refclk:ptp=IEEE802.1AS-2011:39-A7-94-FF-FE-07-CB-D0
```

Although, as discussed in RFC 7273, the PTP domain specification is optional, under this standard, signaling for RTP streams referenced to IEEE 1588-2008 shall indicate both GMID and PTP domain.

IEEE 802.1AS always uses domain 0 so no domain indication is supported or necessary. Two devices synchronized to IEEE 802.1AS shall be assumed to be using the same domain.

Receivers should attempt to connect to senders if they are using the same PTP domain and the same GMID clock reference as the sender. Receivers should not attempt to connect to senders if they are using a different PTP domain for their clock reference than the sender.

The case of a PTP domain match and mismatched GMID may indicate either a transition state of the network or lack of a common clock reference between sender and receiver or different grandmasters referenced to the same traceable clock source (for example, GPS). Receivers may attempt to make a connection in this case but should be prepared for possible synchronization failure.

Under RFC 7273, senders may specify multiple equivalent clock sources. Receivers should evaluate all clock sources specified and should attempt to connect based on the recommendations in this clause.

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Senders and receivers should monitor for changes in their synchronization status during transmission. Senders should update their clock source description when a change is detected.

When their synchronization status changes or an updated description is received from the sender, receivers should reevaluate their ability to continue receiving according to the recommendations in this clause. Receivers are not required to terminate reception on detection of synchronization signaling mismatch in an ongoing stream but they should be prepared for possible synchronization failure.

8.3 RTP and media clock

The relationship of media clock to RTP clock shall be described for each stream with an a=mediaclk:direct=<offset> attribute as specified in RFC 7273 clause 5.2. The offset specification shall be included in the description. A mediaclk attribute shall be provided for each stream described.

NOTE 1 RFC 7273 allows mediaclk to be specified at session, media or source levels. As described in section 5.4 of that document, a declaration at a higher layer satisfies the above requirement for all lower level streams.

NOTE 2 The relationship of media clock to network clock is fixed and specified in 5.

The mediaclk attribute supports numerous media clock scenarios. The following example illustrates use of the attribute within the scope of this standard.

EXAMPLE media clock description - the RTP timestamp has a value of 1810024580 at the media clock epoch:

a=mediaclk:direct=1810024580

8.4 Payload types

Allocation of a dynamic payload type and associated rtpmap attribute is required to specify the interoperable encoding formats (table 2) as none of these formats are called out as static payload types in RFC 3551 (clause 6, table 4 of that document). The receiver shall determine the payload type using the rtpmap attribute; it shall not assume any fixed relationship between payload type value and payload type. The relationship is defined on a stream-by-stream basis by senders using the rtpmap attribute.

8.5 Example descriptions

8.5.0 Errata

SDP errors in both this standard and in RFC 7273 have been corrected in standard revisions and published errata. It is possible to implement an SDP interpreter to tolerate the resulting errors in earlier implementations. Errors have included invalid t= specification, incorrect a=sendonly specification for multicast stream description, mis-ordered lines and specification of PTP domain with domain-nmbr= syntax.

8.5.1 Multicast session description example

Example simple SDP description for 8 channels of 24-bit, 48 kHz audio transmitted as a multicast stream with 1-millisecond packet time.

v=0
o=- 1311738121 1311738121 IN IP4 192.168.1.1
s=Stage left I/O
c=IN IP4 239.0.0.1/32
t=0 0
m=audio 5004 RTP/AVP 96
i=Channels 1-8
a=rtpmap:96 L24/48000/8
a=recvonly
a=ptime:1
a=ts-refclk:ptp=IEEE1588-2008:39-A7-94-FF-FE-07-CB-D0:0
a=mediaclk:direct=963214424

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8.5.2 Unicast session description example

Example simple SDP description for 8 channels of 24-bit, 48 kHz audio transmitted as a unicast stream with 250-microsecond packet time.

```
v=0
o=audio 1311738121 1311738121 IN IP4 192.168.1.1
s=Stage left I/O
c=IN IP4 192.168.1.1
t=0 0
m=audio 5004 RTP/AVP 96
i=Channels 1-8
a=rtpmap:96 L24/48000/8
a=sendonly
a=ptime:0.250
a=ts-refclk:ptp=IEEE1588-2008:39-A7-94-FF-FE-07-CB-D0:0
a=mediaclk:direct=2216659908
```

9 Discovery

Discovery is the network service which allows participants to build a list of the other participants or sessions available on the network. Such a list can be presented to users to assist with connection management. Connection management requires a SIP URI (see 10.1) or SDP description (see 8). These may be delivered through Bonjour, SAP, static configuration or other means.

Devices are not required to implement discovery services. Devices may implement one or more discovery services including Bonjour, SAP and others.

A survey of discovery systems is included in annex E.

10 Connection management

10.0 General

Connection management is the procedure and protocols used to establish one or more media streams between a sender and one or more receivers.

10.1 Unicast connections

Connection management for unicast streams shall be accomplished using the Session Initiation Protocol (SIP) as defined in RFC 3261. SIP is widely used in IP telephony and is the connection management protocol used by EBU Tech 3326.

As per 7.6, all receivers shall support unicast (and multicast) streaming. It follows from the requirements of this clause that all receivers shall support SIP.

10.1.1 SIP URI

Under SIP, audio devices are SIP user agents with an associated SIP URI. SIP allows user agents to locate and make connections to other user agents by referencing the other's SIP URI. A SIP URI for a potential connection may be learned through discovery (see 9) or through other means (for example: static configuration, proprietary directory service).

The sip: URI form shall be used by this standard. The sips: URI form indicates that connection management should be conducted securely using TLS. Secure connection management does not necessarily imply that stream transmission is done securely. Stream transmission security is negotiated as part of the connection management process. RFC 3261 recommends that user agents implement TLS. However, because this standard does not provide a recommendation for interoperability of secure media streaming (see 6.3), devices may support TLS and sips:, but they are not required for interoperability.

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10.1.2 Server and serverless modes

SIP is conventionally used with the assistance and participation of SIP servers. Different types of servers perform different tasks for a SIP network. Servers may be located anywhere on the network where they are reachable by end stations. The use of servers creates a flexible and scalable connection management system.

Serverless mode is used to perform connection management between user agents in direct peer-to-peer fashion without the intervention of servers. The serverless mode is appropriate for modest installations where, due to limited scale, servers produce minimal benefit and the overhead of installing and configuring SIP servers introduces unnecessary complication.

In order to perform peer-to-peer connection management, the caller must have some means of determining network contact information (that is, host name or IP address) of the callee. This may be obtained through discovery (see 9), manual configuration, or higher-layer protocols. In peer-to-peer connection management, all SIP messages are directed to the target device instead of the server. A device in compliance with this standard shall respond to such requests.

Support for serverless mode does not release devices from requirements to operate in a normal SIP environment featuring servers. Specifically, devices will still need to attempt to discover, and register with, SIP registration servers and respond to messages originating from servers.

10.1.3 User-Agent

The *User-Agent* header field in the SIP protocols is useful for conveying information about the end station that managers can use to expedite connection management and work around implementation-specific issues. The format of *User-Agent* data is defined in RFC 2616 clause 14.43. Devices should include a User-Agent header field in REGISTER and INVITE messages.

NOTE Security considerations for *User-Agent* in the context of SIP are discussed in RFC 3261 clause 20.41.

10.1.4 Format negotiation

The standard offer/answer model as described in RFC 3264 shall be used to negotiate the encoding format for a connection. Supported encoding formats are described in 7.1.

10.1.5 Packet time negotiation

The offer/answer model does not address negotiation of attributes such as packet time. As per 8.1 an offer supporting multiple packet times specifies a range with the ptime and maxptime attributes. An answer shall assume that ptime and maxptime packet times are supported. To increase flexibility and reliability, devices may wish to implement the capability negotiation provisions of RFC 5939.

10.2 Multicast connections

Multicast connection management may be accomplished without use of a connection management protocol. In this simple connection management scenario, the receiver is not required to make direct contact with the sender.

A receiver obtains a session description of the desired connection using discovery (see 9) or other means. When a receiver learns of a stream to which it would like to make a connection, it can use IGMP to inform the network of desire to receive and begin receiving the stream.

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Annex A (Normative) - Media profile

A.0 General

The IEEE 1588-2008 precision time protocol was designed to accommodate a range of synchronization applications. The differing requirements of different applications are accommodated through the definition and use of profiles. A profile is a set of operating parameter values and list of protocol components used in service of the application.

The media profile defined in this annex serves the requirements of media networking. Specifically, the profile enables short start-up time, high accuracy and compatibility with standard IP networking equipment.

It is anticipated that additional profiles will be published as the IEEE 1588 ecosystem grows and that some of these will be applicable to media networking. In response to these anticipated advances, devices may choose to implement profiles in addition to or instead of the media profile defined in this annex.

A.1 Media profile description

The Media profile specifies attributes and options required and allowed in use of IEEE 1588-2008 in synchronization for media transport under this standard. The basic structure and some of the text in this definition is taken from IEEE 1588-2008 annex J.

The Media profile differs from the Delay Request-Response Default PTP profile given in IEEE 1588-2008 clause J.3 in the following aspects:

- Identification information indicating AES origin of the profile.
- portDS.logSyncInterval and portDS.logMinDelayReqInterval are reduced to improve startup time and improve accuracy when using standard (as opposed to IEEE 1588 enabled) network equipment.
- The only supported message encapsulation is UDP/IPv4
- Clock physical requirements compatible with AES11
- Additional clockClass values to signal AES11 DARS Grade
- A recommendation to implement the peer delay mechanism in addition to request-response

A.2 Media profile

A.2.1 Identification

The identification values for this PTP profile (see IEEE 1588-2008 clause 19.3.3) are as follows:

PTP Profile:

PTP profile for media applications.

Version 1.0

Profile identifier: 00-0B-5E-00-01-00

This profile is specified by the AES Standards Committee.

A copy may be obtained by ordering AES67-2013 from the AES Standards Store at: www.aes.org/publications/standards/

A.2.2 PTP attribute values

Nodes shall implement all requirements in this PTP profile that specify default values or choices such that these default values or choices apply without requiring user configuration; that is, as delivered from the manufacturer.

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Table A.1 – attribute values for use with Media profile

| Attribute | Values |
|---|---|
| defaultDS.domainNumber | The default initialization value shall be 0. |
| | All other values (0 to 255) are allowed. |
| portDS.logAnnounceInterval | The default initialization value shall be 1. |
| | The configurable range shall be 0 to 4. |
| portDS.logSyncInterval | The default initialization value shall be -3. |
| | The configurable range shall be -4 to $+1$. |
| portDS.logMinDelayReqInterval | The default initialization value shall be 0. |
| | The configurable range shall be -3 to 5 or |
| | portDS.logSyncInterval to |
| | portDS.logSyncInterval+5, whichever is more restrictive. |
| portDS.logMinPdelayReqInterval | The default initialization value shall be 0. |
| | The configurable range shall be 0 to 5. |
| portDS.announceReceiptTimeout | The default initialization value shall be 3. |
| | The configurable range shall be in the range 2 to 10. |
| defaultDS.priority1 | The default initialization value shall be 128. |
| defaultDS.clockClass | Table A.2 specifies additional values beyond those specified in IEEE 1588-2008 table 5 in support of AES11 physical clock specifications (see A.2.4). |
| defaultDS.priority2 | The default initialization value shall be 128. |
| defaultDS.slaveOnly | If this parameter is configurable, the default value shall be FALSE. |
| transparentClockdefaultDS.primaryDomain | The default initialization value shall be 0. |
| τ (see IEEE 1588-2008 clause 7.6.3.2) | The default initialization value shall be 1,0 s. |

For each defined range, manufacturers may allow wider ranges.

Table A.2 - clockClass values for use with Media profile

| clockClass (decimal) | Specification | Time scale | Slave capable | Specific to Media profile |
|-------------------------|--|---------------|------------------|---------------------------------|
| 6 | A clock that is synchronized to a primary reference time source (for example, GPS) | PTP | No | No |
| 7 | A clock that has previously been designated as clockClass 6 but that has lost the ability to synchronize to a primary reference time source and is in holdover mode and within holdover specifications | PTP | No | No |
| 13 | A clock that is synchronized to an external media clock source | ARB | No | No |

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| 14 | A clock that has previously been designated as clockClass 13 but that has lost the ability to synchronize to an external media clock source and is in holdover mode and within holdover specifications | ARB | No | No |
|-----|---|------|-----|-----|
| 52 | Degradation alternative A for a clock of clockClass 7 that is not within holdover specification | PTP | No | No |
| 58 | Degradation alternative A for a clock of clockClass 14 that is not within holdover specification | ARB | No | No |
| 150 | A clock whose frequency is synchronized to a reference with \pm 1 ppm frequency accuracy (for example, a Grade-1 DARS according to AES11-2009) and whose time has been previously synchronized to a primary reference time source | РТР | Yes | Yes |
| 158 | A clock whose frequency is synchronized to a reference with \pm 10 ppm frequency accuracy (for example, a Grade-2 DARS according to AES11-2009) and whose time has been previously synchronized to a primary reference time source | РТР | Yes | Yes |
| 166 | A clock of unspecified tolerance that has been previously synchronized to a primary reference time source | PTP | Yes | Yes |
| 187 | Degradation alternative B for a clock of clockClass 7 that is not within holdover specification | PTP | Yes | No |
| 193 | Degradation alternative B for a clock of clockClass 14 that is not within holdover specification | ARB | Yes | No |
| 220 | A clock whose frequency is synchronized to a reference with ± 1 ppm frequency accuracy (for example, a Grade-1 DARS according to AES11-2009) and whose time has <i>not</i> been previously synchronized to a primary reference time source | ARB | Yes | Yes |
| 228 | A clock whose frequency is synchronized to a reference with \pm 10 ppm frequency accuracy (for example, a Grade-2 DARS according to AES11-2009) and whose time has <i>not</i> been previously synchronized to a primary reference time source | ARB | Yes | Yes |
| 248 | Default. This clockClass shall be used if none of the other clockClass definitions apply; for example, a clock of unspecified tolerance that has not been previously synchronized to a primary reference time source. | ARB | Yes | No |
| 255 | A slave-only clock | n.a. | Yes | No |

Holdover specification for all clockClass specifications are +/- 5 % of a 96 kHz word-clock period.

A.2.3 PTP options

Devices may implement any options of IEEE 1588-2008 clause 17. Devices may implement unicast negotiation as defined in clause 16.1 of IEEE 1588-2008. All of these options shall be inactive unless specifically activated by a management procedure.

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Node management shall implement the management message mechanism of IEEE 1588-2008.

The best master clock algorithm shall be the algorithm specified by IEEE 1588-2008 clause 9.3.2.

The default path-delay measurement mechanism shall be the delay request-response mechanism specified by IEEE 1588. The peer delay mechanism should also be implemented.

NOTE Only a single mechanism is allowed per link. Boundary clocks should be used between links that use different path delay mechanisms.

A.2.4 Clock physical requirements

Clocks shall meet requirements of Grade 2 DARS set forth in AES11 clause 5.2. Clocks may conform to the Grade 1 requirements but in so doing shall indicate this to other network participants as described in A.2.2 defaultDS.clockClass.

NOTE 1 Support for the default IEEE 1588 profile is required; the physical requirements associated with the default must be met when the default profile is in use. Of specific interest in this context is the default profile requirement for a +/- 100 ppm adjustability with a recommendation for +/- 250 ppm adjustability.

NOTE 2 The fact that individual clocks meet AES11 requirements does not guarantee the whole clock distribution system meets AES11 specifications as network performance also contributes to the quality of the distributed clock.

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Annex B (Informative) - Network QoS configuration recommendations

B.0 General

Networks supporting high-performance media streaming must provide the QoS required by these services. This standard recognizes the need for QoS and, in 6.2, specifies how traffic is to be marked for the network. Although network behavior is outside the scope of the standard, this annex gives general configuration guidelines for an IP network with QoS implemented in accordance with IETF DiffServ recommendations.

B.1 DiffServ network configuration

DiffServ is a framework for classification and differentiated treatment of network traffic. DiffServ is considered a course-grained QoS architecture as it operates on classes of traffic in aggregate rather than on individual traffic flows.

Per-hop behavior (PHB) is fundamental to DiffServ operation. At each router or switch, traffic is classified and retransmitted according to that classification. In the presence of congestion, higher priority traffic is retransmitted promptly while lower priority traffic waits in buffers inside network equipment and may be discarded altogether. The use of PHBs on classes of traffic, as opposed to individual traffic flows (for example, a media stream) produces a simple and scalable QoS solution.

Ethernet equipment typically supports PHB though use of multiple egress queues per port. Different classes of traffic are queued separately. When an egress port is available to transmit a packet, a selection algorithm selects a packet from one of the queues. There are several selection algorithms in use in network equipment. Arguably the simplest selection algorithm is *strict priority*. Under this scheme the oldest packet from the highest-priority non-empty queue is selected for transmission. This results in the lowest possible latency for high-priority traffic but can result in lower priority traffic being held off for long periods possibly resulting in lost data, a condition known as starvation. More sophisticated selection algorithms such as *weighted round robin* and *guaranteed minimum bandwidth*, address starvation by adding more balance to the process. Under these algorithms, no one is starved for bandwidth but latency for highest priority traffic is higher.

Within a network supporting DiffServ, traffic classes are identified by a 6-bit differentiated services code point (DSCP) value in every IP header. These values are assigned by the end stations generating the traffic as defined in 6.2.

Media streaming under this standard presents three traffic classes to the network. The recommended DSCP values specified in 6.2 for each class are suitable for use on networks configured in accordance with the recommendations in DiffServ RFCs.

NOTE Not all networks are configured in accordance with the DiffServ recommendations. The RFCs allow considerable latitude and consequently, there is considerable variation in how DiffServ is configured and deployed on networks.

B.1.1 Clock

Clock traffic consists of relatively low-frequency transmissions (less than 100 packets/second) of small UDP packets (on the order of 100 bytes each) and low bandwidth (less than 100 kbits/second). Although clock traffic is not highly sensitive to packet loss, it is sensitive to latency and specifically to latency variation which is also known among network engineers as delay variation (DV). High levels of DV for clock traffic degrades the accuracy of clock delivery across the network.

It is recommended that IEEE 1588-2008 clock traffic be assigned highest priority for application traffic on the network recognizing that on some networks, network management and routing control traffic are assigned priority higher than any application traffic. On most DiffServ networks, this is achieved by assigning clock traffic to the Expedited Forwarding (EF) class. EF is typically implemented as a strict priority queue that is given transmission preference ahead of other queues.

NOTE EF is often also used for VoIP traffic on the assumption that, compared to previous network applications (file transfers, e-mail deliver, web browsing), VoIP is the most performance-critical network application. However, VoIP typically operates using a 20 ms

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packet time making it up to two orders of magnitude less time critical than media traffic associated with this standard. Fortunately the small packet size (100 bytes typical) and low bandwidth (10 kbit/second per call) limit the potential interference from VoIP traffic.

Networks configured with an EF differentiated service and willing to trust the end station markings may accept the traffic as is. More security-conscious networks may wish to recognize and classify clock traffic based on its addressing and port assignments as shown in table B.1.

Table B.1 - Clock class traffic identification

| Traffic | Destination address | Protocol | Destination port |
|------------------------------|---------------------|----------|------------------|
| Time-critical event messages | 224.0.1.129 | UDP | 319 |

B.1.2 Media

Media traffic is characterized by high frequency (up to 8000 packets per second per stream) and high bandwidth (over 1 Mbit per audio channel). Packet size depends on number of audio channels carried in a stream and can range from less than 100 bytes for a single-channel stream to the full 1500-byte Ethernet MTU for a maximally loaded stream. Media packets must be delivered in a timely and reliable manner. Any packet loss in media data will manifest as audio dropouts. For the highest performance applications, packets delayed more than 250 microseconds by the network may arrive too late to be useful and this will also manifest as audio dropouts. The audibility and impact of audio dropouts is application dependent. Most professional applications have a low tolerance for dropouts.

This standard recommends in 6.2 that senders mark media traffic with the AF41 DSCP value of 34. The clause also allows implementations to use alternate DSCP markings for different streams based on QoS requirements.

It is recommended that media traffic be assigned to a high-priority assured-forwarding class. Assured-forwarding PHB is defined in RFC 2597. Some applications may benefit from multiple assured-forwarding classes for media. Implementation of assured forwarding may require network engineering to establish a subscribed rate that supports the traffic expected to be carried by the class. Best performance is achieved if assured forwarding is implemented as a second strict priority queue below EF. Assured forwarding is more typically implemented using a weighted round robin selection algorithm.

Networks configured with an AF41 differentiated service and willing to trust the end station markings may accept the traffic as is. More security-conscious networks may wish to recognize and classify media traffic based on its addressing and port assignments as shown in table B.2.

Table B.2 - Media class traffic identification

| Traffic | Destination | Protocol | Destination port |
|--|--------------------------|----------|-------------------------------|
| Default RTP media | Any unicast or multicast | UDP | 5004 |
| Default RTCP management | Any unicast or multicast | UDP | 5005 |
| Additional or alternate media traffic addressing as allowed in 6.3 | Any unicast or multicast | UDP | Any user port (1024 to 65535) |

NOTE- Details on additional or alternate port assignments for media traffic for a specific device may be available in product documentation or though inquiry of the manufacturer.

B.1.3 Best effort

Best-effort traffic constitutes any traffic related to this standard which is not classified as clock or media traffic. This includes messaging associated with discovery (see 9) and connection management (see 10).

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End stations normally mark best-effort (BE) traffic with the BE DSCP value of 0. Because all networks implement a best effort traffic class and any traffic not otherwise classified is assumed to be best effort, no special configuration is typically required to accommodate BE traffic.

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Annex C (Informative) - AVB network transport

C.0 General

This standard defines how to use an IP transport to carry media data. Ethernet is a common network used to support IP transport. AVB can be viewed as an improved Ethernet. The AVB network is described in a suite of IEEE standards. The standards relevant to network transport are:

- IEEE 802.1AB Audio Video Bridging (AVB) Systems
- IEEE 802.1Q Clause 34 Forwarding and queuing for time-sensitive streams
- IEEE 802.1Q Clause 35 Stream Registration Protocol (SRP)

The AVB improvements can be used to improve performance of media transport. AVB improvements can also be used to improve clock distribution, a topic which is discussed separately in annex D.

C.1 AVB network transport

Two basic methods for transmission of interoperable media streams across an AVB network are available.

Transporting interoperable media as an AVB time-sensitive stream makes use of AVB improvements with the restriction that this method of transport must be confined to an AVB network domain and cannot exit to legacy portions of a network that do not support the AVB improvements and thus cannot connect to non-AVB devices.

Transporting interoperable media as "other traffic" allows the flexibility to connect to and through AVB and non-AVB portions of the network and to non-AVB devices. This mode of transport does not make use of AVB's QoS and registration services and is confined to the proportion of network bandwidth not allocated to time-sensitive streams, typically 25%.

C.1.1 Interoperable media as AVB time-sensitive streams

Devices in compliance with this standard that also have AVB capabilities may choose to use AVB bandwidth reservation and QoS provisions for transport of media streams. The advantages of this approach include:

- Reserved bandwidth assures successful media transmission across the network
- Potential compatibility with other AVB devices using IP transport
- Premium bandwidth and latency performance

Devices using this method must be directly connected to an Ethernet switch supporting the AVB standards and protocols. Under AVB, there are two classes of media streams. Class A streams are highest priority and achieve a 2-ms latency guarantee on IEEE 802.1BA compliant networks. Class B streams are lower priority but still higher priority than any other non-stream data on the network. Class B streams achieve a 50-ms latency guarantee on IEEE 802.1BA compliant networks. Either class may be used for interoperable media transport.

Before a device can transmit interoperable media as AVB media traffic, bandwidth must be reserved for the traffic. This is accomplished using the Stream Reservation Protocol described in clause 35 of IEEE 802.1Q. In the reservation, the sender specifies the class of traffic (A or B) and the maximum amount of traffic generated in the measurement interval for that class. The measurement interval is 125 microseconds for class A and 250 microseconds for class B.

Because the AVB network identifies streams by their Ethernet destination addresses, multicast destination addressing is generally used for AVB streams. Interoperable media transmitted should use multicast IP addressing as described in 7.6. Furthermore since, as per RFC 1112, multiple IP multicast addresses are mapped to a single Ethernet multicast address, additional care beyond what is described in 7.6 must be taken when selecting multicast destination addresses for use with AVB time-sensitive streams.

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C.1.1.1 Sender behavior

A sender (talker in AVB terminology) uses SRP to provide the following information to the AVB network in a Talker Advertise message:

StreamID A 64-bit globally-unique identifier comprised of the 48-bit Ethernet MAC address of

the sender and 16-bits unique to the device generated by the sender.

Destination address The Ethernet multicast destination address used for stream transmission.

VLAN identifier All AVB media packets include an IEEE 802.1Q VLAN tag. The VLAN tag contains

a 12-bit VLAN identifier. The default VLAN identifier for AVB streams is 2.

MaxFrameSize The maximum size of the media stream packets created as defined in 7.

MaxFrameSize includes the IP header but excludes any Ethernet overhead.

MaxIntervalFrames Maximum number of frames a sender may transmit in one measurement interval.

Since packet times allowed by 7.2 are greater than or equal to AVB measurement

intervals, this is always 1.

Data Frame Priority 3 for class A, 2 for class B

Rank 1 for normal traffic, 0 for emergency traffic

SRP allocates a fixed amount of bandwidth equal to MaxFrameSize × MaxIntervalFrames per measurement interval. Note that because packet times for interoperable streams are equal to or longer than AVB measurement intervals, actual bandwidth consumed may be significantly less than bandwidth reserved. This should be taken into account in AVB network design.

Senders must receive positive acknowledgement in the form of *Listener Ready* or *Listener Ready Failed* from SRP before transmitting stream data packets. Senders transmit stream data packets formatted as IP packets as described in 7. AVB networks use multicast filtering to enforce bandwidth allocation and thus support only multicast destination addressing for stream data (see IEEE 802.1Q clause 35.2.2.8.3). Stream packets transported in this way across an AVB network must therefore use multicast IP destination addressing. The IP multicast destination address is mapped to an Ethernet multicast destination address in the range 01:00:5e:00:00:00 to 01:00:5e:7f:ff:ff according to the procedures of RFC 1112 clause 6.4.

The IP packets are framed into Ethernet packets. The Ethernet framing must include an IEEE 802.1Q VLAN tag with VLAN identifier and priority code point matching the corresponding SRP parameters: *VLAN Identifier* and *Data Frame Priority*. Transmission timing of stream data packets within a class by the sender must be in accordance with the credit-based shaper described in IEEE 802.1Q clause 8.6.82.

C.1.1.2 Receiver behavior

A receiver (listener in AVB terminology) uses SRP to provide a desired StreamID to the network in a *Listener Declaration*. If the stream is available, the receiver receives a *Talker Advertise* and must then send an MVRP membership request to join the VLAN specified in the *Talker Advertise*. At this point, stream data packets begin to arrive and the receiver should process them in the same manner they are processed on non-AVB networks.

C.1.2 Interoperable media as other traffic

Although, an AVB network reserves up to 75% of available bandwidth on each link for media traffic, this allocation is configurable. A larger percentage of bandwidth can be reserved for other traffic. The AVB QoS algorithm known as the credit-based shaper is considerate of other traffic giving it an opportunity to traverse the network each measurement interval. Measurement intervals are 125 microseconds for AVB networks carrying only class A streams and 250 microseconds for networks carrying class B streams or both class A and class B streams. Although other traffic is prioritized below AVB media traffic, the other traffic may be prioritized with

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respect to itself. All of this creates an acceptable environment for interoperable media to be carried on or through an AVB network as other traffic. The advantages of this approach include:

- No requirement to implement SRP
- No requirement to implement credit-based shaper
- Ability to transmit media data across the AVB network boundary including bridging media between separate AVB network domains
- Works with or without AVB network equipment
- No wasted bandwidth due to mismatch between measurement interval and packet time
- No special sender or receiver behavior is required

C.1.2.1 Sender behavior

No special sender behavior is required. On some AVB networks, senders may wish to use an IEEE 802.1Q VLAN tag to convey QoS class. AVB networks are generally geared to Ethernet protocols and may not inspect the DSCP value supplied in the IP header.

The VLAN identifier value depends on the VLANs configured on the network. A value of 0 may be used here to indicate default VLAN membership though this use of a 0 VLAN identifier is not supported on all network equipment. Recommended values for the priority field in the VLAN tag are given in table B.3.

Table C.3 - Recommended PCP values for 802.1Q tagged media as "other traffic" through an AVB network

| Traffic | PCP |
|-------------|-----|
| Clock | 6 |
| Media | 5 |
| Best effort | 0 |

NOTE PCP values 2 and 3 are used for AVB media traffic and will be remapped to 0 at the AVB domain boundary by the switch as per IEEE 802.1Q Clause 6.9.4.

C.1.2.2 Receiver behavior

No special receiver behavior is required. Receivers may wish to be prepared to receive media packets with IEEE 802.1Q tags.

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Annex D (Informative) - Interfacing to IEEE 802.1AS clock domains

D.0 General

IEEE 802.1AS defines a profile for use of IEEE 1588-2008 on enhanced Ethernet networks, as specified in IEEE 802.1BA-2011. This type of networking is commonly known as Audio Video Bridging (AVB). As outlined in clause 4.3, AVB networks may use their native IEEE 802.1AS synchronization profile in place of the profiles otherwise required in clause 4 (the IEEE 1588 default profiles and the Media profile specified in annex A). In many cases it may be desirable to interface the two profiles to build heterogeneous networks using both techniques.

Under both IEEE 1588-2008 and IEEE 802.1AS, a PTP clock is designated as an ordinary clock (OC), boundary clock (BC) or transparent clock (TC) though 802.1AS transparent clocks also have some boundary clock capabilities. A device may implement one or more of these capabilities. PTP clocks have one or more ports. OCs may have as few as one port. TCs and BCs must have two or more ports. Ports on BCs and OCs have an associated operating state, master or slave. An IEEE 1588 profile is associated with each port of a clock. Due to its transparent nature, a TC is able to simultaneously associate itself with multiple clock domains and profiles.

D.1 Boundary clock interface

Typically the same profile is used on all ports of a BC but it is also possible to associate different profiles with different ports. A boundary clock running different profiles on different ports creates an interface between portions of an IEEE 1588 network operating with different profiles. The different profiles that may be used for media networking include the default profiles required by this standard and defined in IEEE 1588-2008 annex J, the Media profile recommended by this standard and defined in annex A and the profile used by IEEE 802.1AS on AVB networks.

When a BC is used to communicate synchronization to different network segments in this way, all network segments participate in the Best Master Clock Algorithm (BMCA) and all segments must use the same version of IEEE 1588 protocol and all must use the same domain identifier. Use of BCs in this manner may be appropriate for connecting a segment running the default profile with a segment running the Media profile. Because IEEE 802.1AS uses an Ethernet-specific BMCA this approach is not appropriate for connecting an IEEE 1588 segment to an IEEE 802.1AS segment.

There are no clock source signaling issues when interconnecting clocks in this manner. The interface through the BC simply creates a larger PTP domain. Synchronization identification (see 8) is the same on both sides of the BC interface.

D.2 Ordinary clock interface

A multiport OC can run different profiles on different ports. With the following restrictions, a multiport OC can be used to synchronize multiple segments. An OC can have at most one port in slave mode. Clock distribution must be engineered to assure that an OC is not asked to slave to multiple segments and that the desired overall source for synchronization is selected for all networks.

A multiport OC can therefore be used simultaneously as a grandmaster for multiple PTP networks of different types versions and profiles. The utility of this capability is limited by the requirement to collocate grandmasters and by the fact that there is no clock source signaling defined for this type of interconnection.

D.3 Traceable reference

Any of the clock sources supported by RFC 7273 can be designated "traceable". Doing so indicates that the clock is synchronized to the TAI global time reference. In theory, this allows any traceable clock to be used in place of any other traceable source. In practice, there is no means provided for signaling the accuracy of this synchronization. While accuracy of synchronization over a PTP network may be controllable, the accuracy between two traceable references is not specified and generally unknown. An important exception is when both references are GPS. GPS is typically accurate to about 100 nanoseconds, an accuracy comparable to that achieved with PTP. PTP networks with a GPS grandmaster can safely be considered to be operating as identical

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clocks. The clockClass information broadcast in *Announce* messages by the grandmaster or available through management messaging gives additional information about the synchronization quality beyond what is available in RFC 7273 signaling.

D.4 AVB network as a boundary clock

Because of the high fidelity clock distribution within an IEEE 802.1AS clock domain, it is possible to use the entire IEEE 802.1AS domain as a distributed boundary clock for any other PTP domain. Implementation and the theory behind this capability is discussed in detail in a paper by Geoffrey M. Garner, Michel Ouellette and Michael Johas Teener. Although this technique doesn't necessarily synchronize the IEEE 802.1AS domain to any of the PTP domains passing through it, it does demonstrate another technique for integrating AVB technology and thus improving interoperability.

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Annex E (Informative) - Discovery systems

E.0 General

Although no discovery service is mandated by this standard, clause 9 specifies that potential connections are identified with a SIP URI which can be learned through a discovery system or other means such as a printed directory or link sent via e-mail.

Developers of this standard are aware of the following discovery systems whose application to this standard is discussed below.

E.1 Bonjour

Bonjour is a collection of zero-configuration networking techniques developed by Apple Inc. and published as open documents. In the context of discovery, the relevant Bonjour techniques are multicast DNS (mDNS) described in an RFC 6762 and DNS service discovery (DNS-SD) described in an RFC 6763.

If these techniques are used for discovery, RTP sessions should be advertised by their SIP URI as described in IETF *draft-lee-sip-dns-sd-uri*.

E.2 SAP

Use of SAP, or a similar mechanism to distribute SDP descriptions to potential receivers, enables a simplified connection management for multicast streaming (see 10.2).

When SAP is used, SAP version 2 as defined in RFC 2974 should be used. SDP descriptions as defined in 8 should be carried in the payload of SAP messages. Multicast sessions should be announced using destination address as specified in RFC 2974 clause 3; globally scoped multicast sessions are announced using 224.2.127.254 destination address and administratively scoped multicast sessions are announced using the highest address in their scope.

E.3 Axia Discovery Protocol

The Axia discovery protocol operates over a system-wide dedicated multicast channel. Every device within the delivery scope for these multicasts and having subscribed to the multicast group, can hear the announcements of all other devices.

All devices periodically generate short presence announcements, and at longer interval, description advertisements. The description advertisements include attributes of the device, as well as a list and attributes of the streams they are able to transmit. When description data is updated, a new advertisement is transmitted without waiting for the next scheduled periodic message. Announcement data allows each participant to build a list of the other participants and streams available on the network, to assist with connection management (10).

E.4 Wheatstone WheatnetIP Discovery Protocol

The WheatnetIP discovery protocol operates over a system-wide dedicated IP multicast channel ("announce channel"). Every device within the delivery scope for these multicasts, having subscribed to the multicast group, can hear the announcements of all other devices.

The WheatnetIP protocol uses a *Route Master* elected from within the nodes in the system. Upon entering a WheatnetIP system, a new device issues an entry announcement (*Howdy*) on the announce channel. The Route Master acknowledges this message and initiates a roll call, whereby it asks each device in the system, in turn, to multicast its sources and destinations. The Route Master also assigns each device a range of multicast addresses to use for its output streams. Once the device has collected all of the source and destination information, it becomes active within the system. The Route Master periodically polls each device to ensure that all units listed are still in the system.

Since any device can be a Route Master, and every device contains all of the pertinent information to be a Route Master, loss of the Route Master is seamlessly transitioned to a new Route Master.

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E.5 AMWA NMOS Discovery and Registration Specification (IS-04)

The Advanced Media Workflow Association (AMWA) has published a set of Networked Media Open Specifications (NMOS). These include a Discovery and Registration Specification known as AMWA Interface Specification 04 (IS-04).

In IS-04, logical hosts (known as Nodes) register their resources with a Registry. Clients can obtain this information from the Registry through querying or subscription. Clients then access the resources on the Node. For small numbers of Nodes, where a Registry is not available, IS-04 also provides a Peer-to-Peer Discovery mechanism in which each Node directly announces its resources.

The IS-04 specifications (Registration API, Query API, Node API) use protocols aimed at the web, including HTTP(S), WebSockets and JSON. DNS-SD is used for the discovery of the API endpoint. The specifications can be found at: https://github.com/AMWA-TV/nmos-discovery-registration

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Annex F (Informative) - Glossary

F.1

Bonjour

Bonjour is Apple's implementation of Zero configuration networking (Zeroconf), a group of technologies that includes service discovery, address assignment, and hostname resolution.

F.2

Domain Name System

DNS

a hierarchical distributed naming system for computers, services, or any resource connected to the Internet or a private network. See RFC 2474

F.3

DNS Service Discovery

DNS-SD

a way of using standard DNS programming interfaces, servers, and packet formats to browse the network for services. It is one of the mechanisms used by Bonjour. Source: http://www.dns-sd.org/

F.4

Expedited Forwarding

One of the classifications used by DiffServ with the characteristics of low delay, low loss and low jitter. These characteristics are suitable for voice, video and other real-time services. EF traffic is often given strict priority queuing above all other traffic classes.

F.5

Local-area network

LAN

a computer network that interconnects computers in a limited area such as a home, school, computer laboratory, or office building.

F.6

Multicast DNS

mDNS

a way of using the Domain Name System (DNS) programming interfaces and packet formats, without configuring a conventional DNS server. It is one of the mechanisms used by Bonjour.

F.7

Ordinary Clock

A clock that has a single Precision Time Protocol (PTP) port in a domain and maintains the timescale used in the domain. It may serve as a source of time, that is, be a master clock; or may synchronize to another clock, that is, be a slave clock. Source: IEEE 1588-2008.

F.8

Session Announcement Protocol

SAP

an experimental protocol for announcing RTP sessions. SAP is defined in RFC 2974.

F.9

Stream reservation protocol

SRP

the AVB admission control protocol defined in IEEE 802.1Q-2011 clause 35.

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F.10 UTF-8

A popular variable-length character encoding scheme that supports international character sets but is also backwards compatible with ASCII. UTF-8 is defined in RFC 3629.

F.11

Voice over IP

VoIP

the communication protocols, technologies, methodologies, and transmission techniques involved in the delivery of voice communications and multimedia sessions over Internet Protocol (IP) networks, such as the Internet.

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Annex G (Normative) - Protocol implementation conformance criteria

G.1 Introduction

The supplier of an implementation of AES67 that is claimed to conform to the standard shall complete the following PICS proforma.

A completed PICS proforma is the PICS for the implementation in question. The PICS is a statement of which capabilities and options of the protocol have been implemented. The PICS can be used for a variety of purposes by various parties, including the following:

- a) As a checklist by the protocol implementer, to reduce the risk of failure to conform to the standard through oversight;
- b) As a detailed indication of the capabilities of the implementation, stated relative to the common basis for understanding provided by the standard PICS proforma, by the supplier and acquirer, or potential acquirer, of the implementation;
- c) As a basis for initially checking the possibility of interworking with another implementation by the user, or potential user, of the implementation (note that, while interworking can never be guaranteed, failure to interwork can often be predicted from incompatible PICS);
- d) As the basis for selecting appropriate tests against which to assess the claim for conformance of the implementation, by a protocol tester.

G.2 Instructions for completing the PICS proforma

The first part of the PICS proforma, Implementation Identification and Protocol Summary, is to be completed as indicated with the information necessary to identify fully both the supplier and the implementation.

The main part of the PICS proforma is a fixed-format questionnaire divided into subclauses, each containing one or more items. Answers to the questionnaire items are to be provided in the right-most column, either by simply marking an answer to indicate a restricted choice (usually Yes, No, or Not Applicable), or by entering a value or a set or range of values. (Note that there are some items where two or more choices from a set of possible answers can apply; all relevant choices are to be marked.). As noted in the PICS, some items do not require responses for certain compliant implementations. If no "n/a" response option is given in these cases, the requirement may be removed.

Each item is identified by a statement number reference in the first column that refers directly to a clause number in the standard; the second column describes the feature; the third column contains the level of the requirement (see table G.1); the fourth column indicates the question to be answered. The fifth column is used to record the answer to these questions.

Table G.1 - Requirement levels

| Requirement level | Requirement language |
|-------------------|----------------------------|
| 1 | Shall (requirement) |
| 2 | Should (strong suggestion) |
| 3 | May (permission) |
| 0 | Informative |

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G.3 PICS proforma

G.3.1 Identification

G.3.1.1 Implementation identification

| , | - |
|--|---|
| Supplier (Note 1) | |
| | |
| Contact point for queries about the PICS (Note 1) | |
| | |
| | |
| | |
| | |
| Implementation Name(s) and Version(s) (Notes 1 and 3) | |
| | |
| | |
| | |
| Other information necessary for full identification - for | |
| example, name(s) and version(s) of machines and/or operating system names (Note 2) | |
| operating system names (1.000 =) | |
| | |
| | |
| | |

NOTE 1 Required for all implementations.

NOTE 2 May be completed as appropriate in meeting the requirements for the identification.

NOTE 3 The terms Name and Version should be interpreted appropriately to correspond with a supplier's terminology (for example, Type, Series, Model).

G.3.1.2 Protocol summary

| Identification of protocol specification | AES67-xxxx, AES standard for audio applications of networks - High-performance streaming audio-over-IP interoperability |
|---|---|
| Identification of amendments and corrigenda to the PICS proforma that have been completed as part of the PICS | |

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G.3.2 Synchronization

G.3.2.1 General

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|-------------------|---|--------------------------|
| 4.0-1 | Synchronization of a common clock shall be achieved using IEEE 1588-2008 Precision Time Protocol (PTP) | 1 | Mark as supported if the device supports IEEE 1588-2008 Precision Time Protocol (PTP) Indicate support per operation mode: | |
| | | | Slave: Yes/NoMaster: Yes/No | Yes[] No[] Yes[] No[] |
| 4.0-2 | Devices, except for devices using AVB synchronization (clause 4.3), shall support the IEEE 1588-2008 default profiles as described in annex J. | | Applicable to non-AVB devices Mark as supported if the device supports IEEE 1588-2008 default profiles as described in annex J | Yes[]No[]n/a[] |
| 4.0-3 | Devices using the default profiles shall use IPv4 encapsulation as described in IEEE 1588-2008 annex D. | 1 | Mark as supported if the device uses IPv4 encapsulation for the default profiles, as described in IEEE 1588-2008 annex D | Yes[] No[] |

G.3.2.2 IP network synchronization

| Statement Number | Feature | Requirement level | Notes | Suppo | orted |
|---------------------|---|----------------------|--|-------------|--------|
| 4.1-1 | Devices should support the media profile defined in annex A, to assure adequate performance for all applications on standard IP networks. | 2 | Mark as supported if the device supports the media profile defined in AES67 annex A. Note: Marking the media profile as supported neither requires nor implies support for the optional peer delay mechanism. | Yes[] | No [] |
| 4.1-2 | Devices may use the default profiles on IP networks | 0 | Device capability information: Indicate what profile is used on IP networks Indicate the selection method: permanent / manual / automatic If automatic, indicate the condition used |] [[|] |

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G.3.2.3 AVB network synchronization

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|----------------------|--|----------------------|
| 4.3-1 | AVB networks may use their native IEEE 802.1AS synchronization profile in preference to the default profiles or media profile. | 3 | Applicable to AVB devices Mark as supported if the device is capable of using the IEEE 802.1AS synchronization profile. Indicate the selection method: permanent / manual / automatic If automatic, indicate the condition used | Yes[]No[]n/a[] [] |

G.3.3 Media clocks

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|-------------------|--|----------------|
| 5-1 | The media clock and the network clock shall share the epoch of 1 January 1970 00:00:00 TAI, as defined in IEEE 1588-2008 clause 7.2.2. | 1 | Mark as supported if the media clock and the network clock share the epoch of 1 January 1970 00:00:00 TAI, as defined in IEEE 1588-2008 clause 7.2.2. | Yes[] No[] |
| 5-2 | Digital audio to be carried on the network shall be sampled according to the media clock, or sampling-frequency converted to conform to the media clock. | 1 | Mark as supported if digital audio is sampled according to the media clock, or sampling-frequency converted to conform to the media clock. | Yes [] No [] |
| 5-3 | The media clock shall advance at an exact rate with respect to the network clock. | 1 | Mark as supported if the media clock advances at an exact rate with respect to the network clock. | Yes[] No[] |
| 5-5 | The value of the media clock shall be 0 at the IEEE 1588 epoch and change to 1 exactly one sample period after the epoch. | 1 | Mark as supported if the value of the media clock is 0 at the IEEE 1588 epoch and changes to 1 exactly one sample period after the epoch. | Yes[] No[] |
| 5-6 | The RTP offset shall be conveyed through session description (see 8.3) on a per-stream basis. | 1 | Mark as supported if RTP offset is conveyed through session description on a per-stream basis. | Yes [] No [] |
| 5-7 | A media clock using 32-bit representation shall accurately take into account all overflows (rollovers) between the epoch and the current time. | 1 | Mark as supported if the media clock using 32-bit representation accurately takes into account all overflows (rollovers) between the epoch and the current time. | Yes [] No [] |

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G.3.4 Transport

G.3.4.1 Network layer

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|-------------------|--|-------------------|
| 6.1-1 | Media packets shall be transported using IP version 4 as defined in RFC 791. | 1 | Mark as supported if media packets are transported using IP version 4 as defined in RFC 791. | Yes[] No[] |
| 6.1-2 | A receiver that does not support reassembly shall ignore IP packet fragments. | 1 | Applicable if the receiver does not support IP packet reassembly Mark as supported if the receiver can safely ignore IP packet fragments | Yes[]No[]n/a[] |
| 6.1-3 | Senders may set the Don't Fragment flag (DF) bit in the IP header of outgoing media packets. | 3 | Mark as supported if the sender sets the Don't Fragment flag (DF) bit in the IP header of outgoing media packets. | Yes[] No[] |
| 6.1-4 | Senders should terminate transmission of the offending stream in response to receipt of an ICMP "Too Big" message. | 2 | Mark as supported if the sender terminates transmission of the offending stream in response to receipt of an ICMP "Too Big" message. | Yes[] No[] |
| 6.1-5 | Multicast messaging shall be accomplished using IP multicasting as described in RFC 1112. | 1 | Mark as supported if multicast messaging is accomplished using IP multicasting as described in RFC 1112. | Yes[] No[] |
| 6.1-6 | All devices shall support IGMPv2 as defined in RFC 2236 | 1 | Mark as supported if the device supports IGMPv2 as defined in RFC 2236. | Yes[] No[] |
| 6.1-7 | Devices may support IGMPv3 as defined in RFC 3376. | 3 | Mark as supported if the device supports IGMPv3 as defined in RFC 3376 | Yes[] No[] |
| 6.1-8 | Devices shall use IGMP to request reception of any multicasts required. | 1 | Mark as supported if the device uses IGMP to request reception of any multicasts required. | Yes [] No [] |
| 6.1-9 | Senders shall use IGMP to request receipt of any multicast media packets they are going to send, before starting to send such packets. | 1 | Mark as supported if the sender uses IGMP to request receipt of any multicast media packets it is going to send, before starting to send such packets. | Yes [] No [] |

G.3.4.2 Quality of service

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|---|----------------------|--|----------------|
| 6.2-1 | Devices shall implement the DiffServ method as described in RFC 2474. | 1 | Mark as supported if the device implements the DiffServ method as described in RFC 2474. | Yes[] No[] |
| 6.2-2 | The traffic classes given in table 1 shall be supported. | 1 | Mark as supported if all traffic classes given in table 1 are supported. | Yes[] No[] |
| 6.2-3 | Devices shall tag outgoing traffic with an appropriate DSCP value | 1 | Mark as supported if the device tags outgoing traffic with an appropriate DSCP value | Yes [] No [] |

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| 6.2-4 | Devices should use the default values for the DSCP field as given in table 1. | 2 | Mark as supported if the device uses the default values without requiring user configuration. | Yes[] No [] |
|--------|---|---|--|-----------------|
| 6.2-5 | Although not required, devices may implement a management interface for DSCP configuration, allowing traffic to be marked with alternative DSCP values. | 3 | Mark as supported if DSCP field can be configured for one or more traffic classes defined in Table 1 through any means. Indicate what configuration means are provided | Yes[] No [] |
| 6.2-6 | Devices not implementing a management interface shall use the default values exclusively. | 1 | Applicable if the device does not implement a management interface for DSCP configuration Mark as supported if the device uses the default values exclusively. | Yes[]No[]n/a[] |
| 6.2-7 | Senders may be configured to use the same DSCP values for multiple classes. | 3 | Test applicable if DSCP values are configurable Mark as supported if the device implements a method to configure the same DSCP values for multiple classes. | Yes[]No[]n/a[] |
| 6.2-8 | Senders may be configured to use classes in addition to those shown in table 1. | 3 | Mark as supported if the device implements a method to configure additional traffic classes | Yes[] No [] |
| 6.2-9 | Senders should mark outgoing RTCP packets with the same DSCP value as the respective RTP stream packets. | 2 | Mark as supported if the sender marks outgoing RTCP packets with the same DSCP value as the respective RTP stream packets. Note: Senders are not required to implement RTCP | Yes[] No[]n/a[] |
| 6.2-10 | Receivers should mark outgoing RTCP packets with the same DSCP value they would use on RTP packets if transmitting a similar stream. | 2 | Mark as supported if the receiver marks outgoing RTCP packets with the same DSCP value it would use on RTP packets if transmitting a similar stream. Note: Receivers are not required to implement RTCP | Yes[] No[]n/a[] |
| 6.2-11 | Receivers shall make no assumptions about class associations from DSCP markings on received packets. | 1 | Mark as supported if DSCP markings on received packets do not have an impact on performance of the device. | Yes [] No [] |

G.3.4.3 Transport layer

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|---|----------------------|--|------------|
| 6.3-1 | Devices shall use Real-time Transport Protocol as defined in RFC 3550. | 1 | Mark as supported if the device uses Real-time Transport Protocol as defined in RFC 3550. | Yes[] No[] |
| 6.3-2 | Devices shall operate in accordance with RTP Profile for Audio and Video Conferences with Minimal Control as defined in RFC 3551. | | Mark as supported if the device operates in accordance with RTP Profile for Audio and Video Conferences with Minimal Control as defined in RFC 3551. | Yes[] No[] |

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| 6.3-3 | Devices should use the default port allocated for RTP: 5004 | 2 | Mark as supported if the device uses the default port allocated for RTP: 5004 | Yes[] No[] |
|---------|---|---|--|-------------------|
| 6.3-4 | Devices should use the default port allocated for RTCP: 5005 | 2 | Mark as supported if the device uses the default port allocated for RTCP: 5005 | |
| | | | Note: Devices are not required to implement RTCP | Yes[] No[]n/a[] |
| 6.3-5a | Senders may use other or additional ports. | 3 | Mark as supported if the sender is capable to use for RTP or RTCP any other port different from the default ports, either fixed or configurable through the management interface or another method | Yes[] No[] |
| | | | If different ports are used, indicate which | [] |
| 6.3-5b | Receivers shall support use of other or additional ports by corresponding senders. | 1 | Mark as supported if the receiver supports use of other or additional ports by the corresponding sender, different from the default ports, configurable through the management interface or another method. | Yes[] No[] |
| 6.3-6 | Devices shall use UDP as defined in RFC 768 for transport of RTP. | 1 | Mark as supported if the device uses UDP as defined in RFC 768 for transport of RTP. | Yes[] No [] |
| 6.3-7 | The maximum allowed RTP payload size shall be 1440 bytes, when no contributing source (CSRC) identifiers or header extensions are included. | 1 | Mark as supported if the RTP payload size does not exceed 1440 bytes, when no contributing source (CSRC) identifiers or header extensions are included. | Yes [] No [] |
| 6.3-8 | Senders should not include contributing source (CSRC) identifiers in the RTP header. | 2 | Mark as supported if the sender does not include contributing source (CSRC) identifier in the RTP header. | Yes[] No [] |
| 6.3-9 | Senders should not add RTP header extensions. | 2 | Mark as supported if the sender does not add RTP header extensions. | Yes[] No[] |
| 6.3-10 | Receivers shall tolerate the presence of CSRC identifiers and header extensions. | | Mark as supported if in presence of CSRC and/or header extensions in an audio stream: | |
| | | 1 | receiver continues normal operation, and no adverse effect on any of its functions is observed, and | |
| | | | no adverse effect on audio received with the same stream is observed | Yes[] No[] |
| 6.3-10a | If senders include header extensions or CSRCs, the 1440 maximum allowed payload shall be adjusted downwards by the size of the added header material. | 1 | Mark as supported if the RTP payload size does not exceed 1440 bytes adjusted downwards by the size of the added header material, when contributing source (CSRC) identifiers or header extensions are included. | Yes[] No [] n/a[] |
| 6.3-11 | Both senders and receivers should transmit RTCP messages as specified in RFC 3550 clause 6. | 2 | Mark as supported if the device transmits RTCP messages as specified in RFC 3550 clause 6. | Yes [] No [] |

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| 6.3-12 | Senders and receivers should allocate RTCP bandwidth as recommended in RFC 3551 clause 2 (RTCP report interval). | 2 | Mark as supported if the device allocates RTCP bandwidth as recommended in RFC 3551 clause 2 (RTCP report interval). | Yes [] No | [] n/a [] |
|--------|---|---|--|------------|-------------|
| 6.3-13 | Unicast senders should monitor connectivity to their respective receivers in such a way as to detect failure of the receiver and stop transmission within 60 seconds. | 2 | Mark as supported if the unicast sender stops transmission to a missing receiver within 60 seconds. | Yes[] N | o [] |
| 6.3-14 | For monitoring of receivers that do not implement RTCP, senders may use any other monitoring means available to them including any of the following techniques. | | Sender capability information: Indicate what monitoring means are used. | | |
| | SIP session timers as described in RFC 4028 SIP OPTION ping (see IETF draft-jones-sip-options-ping) | 0 | | | |
| | ICMP Echo request (ping) | | | [|] |

G.3.5 Encoding and streaming

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|------------------------|-------------------|---|------------|
| 7 | Encoding and streaming | | Indicate support per operation mode: | |
| | | | Device supports receiving: | Yes[] No[] |
| | | | Device supports sending: | Yes[] No[] |
| | | | Note: Devices are allowed to implement only receiving or only sending. When testing such devices, remove the unimplemented sending or receiving requirements from the qualification criteria described throughout this section. See G.4 for explanation of qualification criteria. | |

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G.3.5.1 Payload format and sampling rate

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|-------------------|--|------------|
| 7.1-1 | All devices shall support 48 kHz sampling rate. | | Mark as supported if the device supports all the following capabilities as defined in Table G.2: | |
| | | 1 | • T1.1 or T1.2 | |
| | | | • R1.1 | |
| | | | • R1.2 | Yes[] No[] |
| 7.1-2 | Devices should support 96 kHz sampling rate. | | Mark as supported if the device supports all the following capabilities as defined in Table G.2: | |
| | | 2 | • T1.3 | |
| | | | • R1.3 | Yes[] No[] |
| 7.1-3 | Devices should support 44,1 kHz sampling rate. | | Mark as supported if the device supports all the following capabilities as defined in Table G.2: | |
| | | 2 | • T1.4 | |
| | | | • R1.4 | Yes[] No[] |
| 7.1-4 | At 48 kHz sampling rate: Receivers shall support both L16 and L24 encodings | | Mark as supported if the device supports all the following capabilities as defined in Table G.2: | |
| | | 1 | • R1.1 | |
| | | 1 | • R1.2 | |
| | | | Note: This test verifies the "Receive" subset of stream modes verified in clause 7.1-1. | Yes[] No[] |
| 7.1-5 | At 48 kHz sampling rate: Senders shall support either L16, or L24, or both encodings | | Mark as supported if the device supports all the following capabilities as defined in Table G.2: | |
| | | 1 | • T1.1 or T1.2 | |
| | | _ | Indicate if L16 (T1.2), or L24 (T1.1), or both are supported | |
| | | | Note: This test verifies the "Transmit" subset of stream modes verified in clause 7.1-1. | [] |

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| 7.1-6 | At 96 kHz sampling rate: Both senders and receivers shall support L24 encoding | 1 | Applicable if support of T1.3 and/or R1.3 (L24 at 96 kHz) is declared Mark as supported if the device supports all the following capabilities as defined in Table G.2: • T1.3 • R1.3 Note: This test verifies all the same stream modes that | |
|--------|--|---|--|----------------|
| 7.1-7 | At 44,1 kHz sampling rate: Both senders and receivers shall support L16 encoding | | are verified in clause 7.1-2. Applicable if support of T1.4 and/or R1.4 (L16 at 44,1 kHz) is declared Mark as supported if the device supports all the following capabilities as | Yes[]No[]n/a[] |
| | | 1 | defined in Table G.2: • T1.4 | |
| | | | R1.4 Note: This test verifies all the same stream modes that are verified in clause 7.1-3. | Yes[]No[]n/a[] |
| 7.1-8 | Although not required, devices may accept 48 kHz connections at all times | 3 | Mark as supported if the device can accept 48 kHz connections in all implemented operation modes and with any configuration settings. | Yes[] No[] |
| 7.1-9 | Although not required, devices may support multiple sampling frequencies simultaneously. | 3 | Mark as supported if more than a single sampling frequency can be used simultaneously on different streams | Yes[] No [] |
| | | | Indicate the combinations supported | [] |
| 7.1-10 | Devices may support L16 at 96 kHz and other audio format combinations. | 3 | Mark as supported if at least one additional combination is supported Indicate what additional combinations are supported | Yes[] No [] |

G.3.5.2 Packet time

G.3.5.2.1 General

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|---|-------------------|---|------------|
| 7.2.0-1 | Senders shall not change packet time for the duration of a session | 1 | Mark as supported if the sender does not change packet time for the duration of a session | Yes[] No[] |
| 7.2.0-2 | Although not required, receivers may adapt to packet time changes during a session. | 3 | Mark as supported if receivers are able to adapt to packet time changes during a session. | Yes[] No[] |

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| 7.2.0-3 | Receivers should not rely on the presence or accuracy of any packet time description. Receivers should be able to determine packet time based on the timestamps in received packets. | | Mark as supported if streams are correctly received when no or incorrect packet time description is provided | Yes[] No[] |
|---------|--|---|---|------------|
| 7.2.0-5 | Product documentation for a device shall indicate which packet times are supported in send and receive directions. | 1 | Mark as supported if product documentation indicates which packet times are supported in send and receive directions. | Yes[] No[] |

G.3.5.2.2 Required packet time

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|---|-------------------|--|-------------------|
| 7.2.1-1 | Senders shall be able to load each audio packet with 48 samples of audio data when operating at a sampling frequency of 48 kHz. | 1 | Mark as supported if the device supports all the following capabilities as defined in Table G.3: • T2.1; applied to 48-kHz stream modes only | Yes[] No[] |
| 7.2.1-2 | Senders shall be able to load each audio packet with 48 samples of audio data when operating at a sampling frequency of 44,1 kHz. | 1 | Applicable if support of T1.4 (L16 at 44,1 kHz) is declared Mark as supported if the device supports all the following capabilities as defined in Table G.3: • T2.1; applied to 44,1-kHz stream modes only | Yes[]No[]n/a[] |
| 7.2.1-3 | Senders shall be able to load each audio packet with 96 samples when operating at a sampling frequency of 96 kHz. | 1 | Applicable if support of T1.3 (L24 at 96 kHz) is declared Mark as supported if the device supports all the following capabilities as defined in Table G.3: • T2.1; applied to 96 kHz stream modes only | Yes[]No[]n/a[] |
| 7.2.1-4 | Receivers shall be able to receive and decode 48-sample packets when operating at a sampling frequency of 48 kHz. | 1 | Mark as supported if the device supports all the following capabilities as defined in Table G.3: • R2.1; applied to 48 kHz stream modes only | Yes[]No[] |
| 7.2.1-5 | Receivers shall be able to receive and decode 48-sample packets when operating at a sampling frequency of 44,1 kHz. | 1 | Applicable if support of R1.4 (L16 at 44,1 kHz) is declared Mark as supported if the device supports all the following capabilities as defined in Table G.3: • R2.1; applied to 44,1 kHz stream modes only | Yes[]No[]n/a[] |
| 7.2.1-6 | Receivers shall be able to receive and decode 96-sample packets when operating at a sampling frequency of 96 kHz. | 1 | Applicable if support of R1.3 (L24 at 96 kHz) is declared Mark as supported if the device supports all the following capabilities as defined in Table G.3: • R2.1; applied to 96-kHz stream modes only | Yes[]No[]n/a[] |

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| 7.2.1-7 | Although not required, devices may accept "1 millisecond" (48-sample at 48 kHz or 44,1 kHz, or 96-sample at 96 kHz) connections at all times. | | Mark as supported if the device can accept "1 millisecond" connections in all implemented operation modes and with any configuration settings. | Yes[] No[] | |
|---------|---|---|--|------------|--|
| 7.2.1-8 | Although not required, devices may support multiple packet times simultaneously. | 3 | Mark as supported if multiple packet times can be used simultaneously Indicate which packet times are simultaneously supported in which mode | Yes[] No[] | |

G.3.5.2.3 Recommended packet times

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|---|-------------------|---|----------------|
| 7.2.2-1 | Senders and receivers should support one or more of the other packet times listed in Table 2. | | Applicable if support of any non-1ms packet times listed in Table 2 is declared | |
| | | | Mark as supported if the device supports at least one of the following capability sets as defined in Table G.3: | |
| | | 2 | • T2.2 and R2.2 | |
| | | - | • T2.3 and R2.3 | |
| | | | • T2.4 and R2.4 | |
| | | | • T2.5 and R2.5 | Yes[]No[]n/a[] |
| | | | Indicate which of the recommended packet times is/are supported | [] |
| 7.2.2-2 | Senders and receivers may support additional packet times. | | Applicable if support of any packet times not listed in Table G.3 is declared | |
| | | 3 | Mark as supported if the device supports the following capability set: | |
| | | 3 | T2.6 and R2.6, at least one packet time common for the sender and the receiver | Yes[]No[]n/a[] |
| | | | Indicate what additional packet time(s) is/are supported | [] |

G.3.5.3 Stream channel count

| Statemen Number | | Requirement level | Notes | Supported |
|--------------------|---|-------------------|--|----------------|
| 7.3-1 | Receivers shall support reception of streams with 1 to 8 audio channels | 1 | Mark as supported if the device supports all the following capabilities as defined in Table G.4: • All R3.1 to R3.8 | Yes [] No [] |

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| 7.3-2 | Receivers may support streams with more than 8 channels. | 3 | Mark as supported if the device supports all the following capabilities as defined in Table G.4: • R3.9 Indicate what additional stream channel counts (greater than 8) are supported | Yes[] No [] [] |
|-------|--|---|---|-------------------|
| 7.3-3 | Senders shall be able to offer at least one stream with 8 channels or fewer. | 1 | Mark as supported if the device supports all the following capabilities as defined in Table G.4: • At least one of T3.1 to T3.8 Indicate what stream channel count(s) (8 or smaller) is/are supported | Yes[] No [] |
| 7.3-4 | Senders may support streams with more than 8 channels. | 3 | Mark as supported if the device supports all the following capabilities as defined in Table G.4: • T3.9 Indicate what additional stream channel counts (greater than 8) are supported | Yes[] No[] |

G.3.5.4 Link offset

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|----------------------|--|------------|
| 7.4-1 | RTP packets are marked with origination timestamps in the timestamp field (RFC 3550 clause 5.1), referenced at ingress to the sender network system. | 1 | Mark as supported if the origination timestamps in RTP packets appear between: • time when audio producing the first sample in the packet enters the sender, and • time when the network packet carrying it leaves the sender, minus one packet time. Note: Accuracy of conformance verification is limited by the inability to observe events inside the device. | Yes[] No[] |

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| 7.4-2 | A receiver should attempt to maintain a constant link offset. | 2 | Mark as supported if the receiver: maintains a constant link offset during stable reception recovers to the previous link offset when resuming reception after occasional stream interruptions Note: The receiver may change the link offset as a reaction to changes in network conditions, for example, changed propagation delay or jitter. Such reaction is not a reason to disqualify the device in this test. | Yes[] No [] |
|-------|---|---|--|-----------------|
| 7.4-3 | The link offset and any changes in link offset should be communicated to the management entity within the receiver, if present. | | Applicable if there is a management entity in the device. Mark as supported if the link offset and any changes in link offset are communicated to the management entity within the receiver. | Yes[] No[]n/a[] |

G.3.5.5 Sender timing and receiver buffering

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|-------------------|---|------------|
| 7.5-1 | Receivers shall have a buffer capacity at least 3 times the packet time. | 1 | Mark as supported if verified for all supported packet times | Yes[] No[] |
| 7.5-2 | Receivers should have a buffer capacity at least 20 times the packet time or 20 ms whichever is smaller. | 2 | Mark as supported if verified for all supported packet times | Yes[] No[] |
| 7.5-3 | Receiver capability information | 0 | Indicate the maximum supported size of the receive buffer in milliseconds | [] |
| 7.5-4 | Senders should transmit at the nominal transmission time with a variation of 1 packet time or less. | 2 | Mark as supported if verified for all supported packet times | Yes[] No[] |
| 7.5-5 | Senders shall transmit data at the nominal transmission time with a variation of no more than 17 packet times or 17 ms whichever is smaller. | 1 | Mark as supported if verified for all supported packet times | Yes[] No[] |
| 7.5-6 | Sender capability information | 0 | Indicate the transmission timing tolerance in milliseconds | [] |

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G.3.5.6 Multicasting

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|----------------------|--|----------------|
| 7.6-1 | Receivers shall be able to receive multicast and unicast streams. NOTE This requirement is reiterated in clause 10.1 of the standard. | 1 | Mark as supported if the device can be configured to receive, concurrently or non- concurrently, both: • multicast streams in at least one mode within the scope of AES67 • and unicast streams in at least one mode within the scope of AES67 | Yes [] No [] |
| 7.6-2 | Where used, multicasting shall use administratively scoped multicast addresses in the range 239.0.0.0 to 239.255.255 | 1 | Mark as supported if verified ability: • to receive streams marked with any multicast destination addresses in the entire range 239.0.0.0 to 239.255.255.255, and • to mark output packets with any multicast destination addresses in the entire range 239.0.0.0 to 239.255.255.255, when sending | Yes[] No[] |
| 7.6-3 | The destination address used for a particular stream shall be configured through the management interface at the sender. | 1 | Mark as supported if the destination address used for a particular stream can be configured through the management interface at the sender. | Yes[] No[] |

G.3.6 Session description

G.3.6.1 General

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|-------------------|--|------------|
| 8.0-1 | SDP as specified in RFC 4566 shall be used to represent the sessions for connection management | 1 | Mark as supported when verified for both SDP output generation and SDP input interpretation with at least one connection management mechanism, which may be different for unicast connections and multicast connections. | Yes[] No[] |

G.3.6.2 Packet time

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|----------------------|--|------------|
| 8.1-1 | The packet time descriptions shall be given with error less than half a sample period. | 1 | Mark as supported if verified for all supported packet times | Yes[] No[] |

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| 8.1-2 | Where decimal representation is not required to accurately convey packet time, it shall be omitted from signaling | 1 | Mark as supported if verified for all supported packet times | Yes[] No[] |
|-------|---|---|---|-----------------|
| 8.1-3 | Packet times in descriptions shall be interpreted as a decimal representation. | 1 | Mark as supported if verified for all supported packet times | Yes[] No[] |
| 8.1-4 | Values outside those enumerated in table 4 shall be correctly interpreted. | 1 | Mark as supported if the device correctly interprets the following alternative decimal representations of packet times listed in Table 4, as long as they do not produce an incorrect calculated integer sample count: • containing unnecessary decimal point • containing unnecessary precision digits, whether they are correct or incorrect with respect to the exact fractional value | Yes[] No [] |
| 8.1-5 | Descriptions shall include a ptime attribute indicating the desired packet time. | 1 | Test applicable both to multicast signaling and to SIP negotiation Mark as supported if ptime is given in generated SDP in all relevant tests | Yes[] No[] |
| 8.1-6 | If more than one packet time is supported, a maxptime indicating the maximum packet time permitted shall be provided. | 1 | Test applicable to SIP negotiation Test applicable to devices that support more than one packet time Mark as supported if maxptime is given in generated SDP in all relevant tests | Yes[] No[]n/a[] |
| 8.1-7 | To override the implied assumption that a shorter packet time is always the preferred packet time, the capability negotiation attributes of RFC 5939 may be used to enumerate the supported packet times and order of preference. | 3 | Test applicable to SIP negotiation Test applicable to devices that support more than one packet time Mark as supported if the capability negotiation attributes of RFC 5939 are used to enumerate the supported packet times and order of preference in at least one test scenario. | Yes[] No[]n/a[] |
| 8.1-8 | If the range of packet times supported includes more than two of the standard packet times (table 2), the description should use the capability negotiation attributes of RFC 5939 to enumerate the supported packet times and order of preference. | 2 | Test applicable to SIP negotiation Test applicable to devices that support more than two of the standard packet times Mark as supported if the capability negotiation attributes of RFC 5939 are used to enumerate the supported packet times and order of preference in all relevant tests. | Yes[] No[]n/a[] |

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G.3.7 Clock source

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|----------------------|---|----------------|
| 8.2-1 | The network clock source for each stream described shall be | | Test applicable both to multicast signaling and to SIP negotiation | |
| | specified with one or more ts-refclk attributes as specified in RFC 7273. | 1 | Mark as supported if included in output SDP and correctly interpreted on input | Yes[] No[] |
| 8.2-2 | Signaling for RTP streams referenced to IEEE 1588-2008 | | Test applicable both to multicast signaling and to SIP negotiation | |
| | shall indicate both GMID and PTP domain. | 1 | Mark as supported if included in output SDP and correctly interpreted on input | Yes[] No[] |
| 8.2-3 | Receivers should attempt to connect to senders if they are using the same PTP domain and the same GMID clock | 2 | Mark as supported if receiver connects to a sender, which uses the same PTP domain and GMID clock reference. | |
| | reference as the sender. | | | Yes [] No [] |
| 8.2-4 | Receivers should not attempt to connect to senders if they are using a different PTP domain for their clock reference than the | 2 | Mark as supported if receiver does not attempt connection to a sender which uses different PTP domain. | |
| | sender. | 2 | which uses different FTF domain. | Yes[] No[] |
| 8.2-5 | Receivers may attempt to make a connection in case of PTP domain match and mismatched GMID | 3 | Mark as supported if receiver connects to a sender which uses the same PTP domain and different GMID | Yes[] No[] |
| 8.2-6 | Receivers attempting to make a connection in case of PTP domain match and mismatched GMID should be prepared for | | Mark as supported if a receiver connecting to a sender, which uses the same PTP domain and different GMID, is capable of detecting and reacting | |
| | possible synchronization failure. | 2 | to the possible synchronization failure by invoking a signaling mechanism and/or disconnecting the faulty connection. | Yes[] No[] |
| 8.2-8 | Senders should monitor for changes in their synchronization | _ | Mark as supported if clock source description is updated in the SDP | |
| | status during transmission and update their clock source description when a change is detected | 2 | generated by Sender | Yes [] No [] |
| 8.2-9 | Receivers should monitor for changes in their synchronization status during reception. When their synchronization status | | Test applicable to receivers that refuse connections in case of PTP domain or GMID mismatch (clauses 8.2-4 and 8.2-5) | |
| | changes, receivers should reevaluate their ability to continue receiving according to the recommendations in this clause | 2 | Mark as supported if the following is verified, when the receiver synchronization status changes: | |
| | | _ | receiver disconnects from a previously connected sender when the PTP domain or when GMID becomes different, following behavior equivalent to that observed on this device, when setting | |
| | | | up new connections (clauses 8.2-4 and 8.2-5) | Yes[]No[]n/a[] |

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| 8.2-10 | Receivers should monitor for changes in their synchronization status during reception. When an updated description is received from the sender, receivers should reevaluate their ability to continue receiving according to the recommendations in this clause | 2 | Test applicable to receivers that refuse connections in case of PTP domain or GMID mismatch (8.2-4 to 8.2-5) Mark as supported if the following is verified, when an updated description is received from the sender: • receiver disconnects from a previously connected sender when the PTP domain or when GMID becomes different, following behavior equivalent to that observed on this device, when setting up new connections (clauses 8.2-4 and 8.2-5) | Yes[]No[]n/a[] |
|--------|---|---|--|----------------|
| 8.2-11 | Receivers are not required to terminate reception on detection of synchronization signaling mismatch in an ongoing stream. | 0 | Receiver capability information: Indicate what behavior is implemented | [] |
| 8.2-12 | Receivers continuing reception on detection of synchronization signaling_mismatch in an ongoing stream should be prepared for possible synchronization failure. | 2 | Test applicable to receivers that would attempt to continue reception under the given conditions. Mark as supported if the receiver, which is continuing receiving under these conditions, is capable of detecting and reacting to the possible synchronization failure by invoking a signaling mechanism and/or disconnecting the faulty connection. | Yes[]No[]n/a[] |

G.3.7.1 RTP and media clock

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|---|-------------------|--|-------------|
| 8.3-1 | The relationship of media clock to RTP clock shall be described for each stream with an a=mediaclk:direct= <offset> attribute as specified in RFC 7273 clause 5.2.</offset> | 1 | Mark as supported when verified for both SDP output generation and SDP input interpretation. | Yes[] No [] |

G.3.7.2 Payload types

| Statemen Number | | Requirement level | Notes | Supported |
|--------------------|--|----------------------|---|------------|
| 8.4-1 | The receiver shall determine the payload type using the rtpmap attribute; it shall not assume any fixed relationship between payload type value and payload type. | 1 | Mark as supported when verified for SDP input interpretation. | Yes[] No[] |

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G.3.8 Discovery

| Statem | nt Feature | Requirement | Notes | Supported |
|--------|---|-------------|--|-------------|
| Numb | r | level | | |
| 9-1 | Devices may implement one or more discovery services including Bonjour, SAP and others. | 3 | Mark as supported if at least one discovery service is implemented Indicate what discovery services are implemented | Yes[] No [] |

G.3.9 Connection management

G.3.9.1 Unicast connections

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|---|----------------------|--|----------------|
| 10.1-1 | Connection management for unicast streams shall be accomplished using the Session Initiation Protocol (SIP) as defined in RFC 3261. | | Mark as supported if SIP can be used to set up a connection. Verification of completeness of the SIP implementation is outside the scope of this PICS. | Yes [] No [] |

G.3.9.1.1 SIP URI

| tatement Number | Feature | Requirement level | Notes | Supported |
|------------------------|---|-------------------|--|----------------|
| 10.1.1-1 | The "sip: "URI form shall be used as defined in RFC 3261 | 1 | Mark as supported if the "sip: " URI form is used as defined in RFC 3261 | Yes [] No [] |
| 10.1.1-2 | Devices may support TLS and "sips: ", but they are not required for interoperability. | 0 | Device capability information: Indicate support of TLS and "sips:" | Yes[] No [] |

G.3.9.1.2 Server and serverless modes

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|-------------------|--|-------------|
| 10.1.2-1 | In addition to serverless mode, devices shall be able to operate in a normal SIP environment featuring servers – attempt to discover, and register with, SIP registration servers, and respond to messages originating from servers. | 1 | Mark as supported if devices can operate in a normal SIP environment featuring servers attempt to discover, and register with, SIP registration servers, and respond to messages originating from servers. | Yes[] No [] |
| 10.1.2-2 | A device in conformance with this standard shall respond to SIP messages sent directly from other user agents. | 1 | Mark as supported if the device responds to SIP messages sent directly from other user agents. Note: Serverless mode | Yes[] No[] |

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G.3.9.1.3 User-Agent

| Statement | Feature | Requirement | Notes | Supported |
|-----------|---|-------------|---|----------------|
| Number | | level | | |
| 10.1.3-1 | Devices should include a User-Agent header field in REGISTER and INVITE messages. | 2 | Mark as supported if the device includes a User-Agent header field in REGISTER and INVITE messages. | Yes [] No [] |

G.3.9.1.4 Format negotiation

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|---|----------------------|--|------------|
| 10.1.4-1 | The standard offer/answer model as described in RFC 3264 shall be used to negotiate the encoding format for a connection. | | Mark as supported if the standard offer/answer model as described in RFC 3264 is used to negotiate the encoding format for a connection. | Yes[] No[] |

G.3.9.1.5 Packet time negotiation

Note: No new requirements are specified in this clause. The relevant functionality is covered by tests in clauses 8.1-7 and 8.1-8

G.3.9.2 Multicast connections

| Statement | Feature | Requirement | Notes | Supported |
|-----------|--|-------------|--|------------|
| Number | | level | | |
| 10.2-1 | Multicast connection management may be accomplished without use of a connection management protocol. | 3 | Mark as supported if a connection can be set up without a connection management protocol | Yes[] No[] |
| | | | Indicate what connection management method is implemented | [] |

G.3.10 Media profile (Normative)

G.3.10.1 General

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|-------------------|---|------------|
| A.0-1 | Devices may choose to implement profiles in addition to or instead of the media profile defined in this annex. | 3 | Mark as supported if the device implements any other than the default and media profiles Indicate all profiles that are implemented in addition to the mandatory default profile | Yes[] No[] |

G.3.11 Media profile

All tests in this section are applicable only if the media profile is supported (test item 4.1-1)

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G.3.11.1.1 Identification

| Statement | Feature | Requirement | Notes | Supported |
|-----------|---|-------------|--|---------------------|
| A.2.1-1 | Profile identification: PTP profile for media applications. Version 1.0. Profile identifier: 00-0B-5E-00-01-00. | level | Applicable to devices using the AES67 media profile Mark as supported if profile identifier is indicated in profileIdentity field of management messages (IEEE 1588 15.5.3.1.2.10) as "00-0B- | Vool INo I I wo I I |
| | | | 5E-00-01-00" | Yes[]No[]n/a[] |

G.3.11.1.2 Table A.1 - PTP attribute values

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|---|----------------------|--|----------------|
| A.2.2-1 | Nodes shall implement all requirements in this PTP profile that specify default values or choices such that these default values or choices apply without requiring user configuration, as delivered from the manufacturer. | 1 | Mark as supported if the device implements all requirements in this PTP profile that specify default values or choices such that these default values or choices apply without requiring user configuration, as delivered from the manufacturer. | Yes[] No[] |
| A.2.2-2 | For each defined range, manufacturers may allow wider ranges. | 3 | Mark as supported if a wider range is allowed for at least one of the PTP attributes | Yes[] No[] |
| A.2.2-3 | defaultDS.domainNumber default 0 | 1 | Mark as supported if the default initialization value of defaultDS.domainNumber is 0 | Yes[] No[] |
| A.2.2-4 | defaultDS.domainNumber range 0-255 | 1 | Mark as supported if all other values (0 to 255) are allowed | Yes[] No[] |
| A.2.2-5 | portDS.logAnnounceInterval default 1 | 1 | Mark as supported if the default initialization value of portDS.logAnnounceInterval is 1 | Yes [] No [] |
| A.2.2-6 | portDS.logAnnounceInterval range 0 to 4 | 1 | Mark as supported if the required range is supported Indicate the wider range, if allowed by the implementation | Yes[] No [] |
| A.2.2-7 | portDS.logSyncInterval default -3 | 1 | Mark as supported if the default initialization value of portDS.logSyncInterval is -3 | Yes[] No[] |
| A.2.2-8 | portDS.logSyncInterval range -4 to +1 | 1 | Mark as supported if the required range is supported Indicate the wider range, if allowed by the implementation | Yes[] No [] |

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| A.2.2-9 | portDS.logMinDelayReqInterval default 0 | 1 | Mark as supported if the default initialization value of portDs.logMinDelayReqInterval is 0 | Yes[] No[] |
|----------|---|---|--|----------------|
| A.2.2-10 | portDs.logMinDelayReqInterval range -3 to 5 OR <portds.logsyncinterval> to <portds.logsyncinterval>+5 whichever is more restrictive</portds.logsyncinterval></portds.logsyncinterval> | 1 | Mark as supported if the required range is supported Indicate the wider range, if allowed by the implementation | Yes[] No[] |
| A.2.2-11 | portDS.logMinPdelayReqInterval default 0 | 1 | Mark as supported if the default initialization value of portDS.logMinPdelayReqInterval is 0 | |
| A.2.2-12 | portDS.logMinPdelayReqInterval range 0 to 5 | 1 | Mark as supported if the required range is supported Indicate the wider range, if allowed by the implementation | Yes[] No [] |
| A.2.2-11 | portDS.announceReceiptTimeout default 3 | 1 | Mark as supported if the default initialization value of portDs.announceReceiptTimeout is 3 | Yes[] No[] |
| A.2.2-14 | portDS.announceReceiptTimeout range 2 to 10 | 1 | Mark as supported if the required range is supported Indicate the wider range, if allowed by the implementation | Yes[] No[] |
| A.2.2-15 | defaultDS.priority1 default 128 | 1 | Mark as supported if the default initialization value of defaultDS.priority1 is 128 | Yes[] No[] |
| A.2.2-16 | defaultDS.priority2 default 128 | 1 | Mark as supported if the default initialization value of defaultDS.priority2 is 128 | Yes[] No[] |
| A.2.2-17 | defaultDS.slaveOnly default FALSE | 1 | Test applicable to devices that support slave/master mode configuration Mark as supported if the default initialization value of defaultDS.slaveOnly is FALSE | Yes[] No [] |
| A.2.2-18 | transparentClockdefaultDS.primaryDomain default 0 | 1 | Mark as supported if the default initialization value of transparentClockdefaultDS.primaryDomain is 0 | Yes [] No [] |
| A.2.2-19 | τ default 1,0 s | 1 | Mark as supported if the default initialization value of τ (see IEEE 1588-2008 clause 7.6.3.2) is 1,0 s | Yes [] No [] |

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G.3.11.1.3 Table A.2 values

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|--|-------------------|---|-------------------|
| A.2.2-20 | Holdover specification for all clockClass specifications are +/- 5 % of a 96 kHz word-clock period. | 0 | Applies to all tests referring to the holdover mode | |
| A.2.2-21 | defaultDS.clockClass 6 - synchronized to a primary reference time source (for example, GPS) | 0 | Applicable to devices supporting primary reference time source (for example, GPS) Mark as supported if the device supports clockClass 6 according to IEEE 1588-2008 clause 7.6.2.4 and table 5 | Yes[]No[]n/a[] |
| A.2.2-22 | defaultDS.clockClass 7 - defaultDS.clockClass 6 in holdover | 0 | Applicable to devices supporting primary reference time source (for example, GPS) Mark as supported if the device supports clockClass 7 according to IEEE 1588-2008 clause 7.6.2.4 and table 5, and the holdover specification given in AES67-2015 clause A.2.2 (PICS clause A.2.2-20). | Yes[]No[]n/a[] |
| A.2.2-23 | defaultDS.clockClass 13 - synchronized to an external media clock source | 0 | Applicable to devices supporting external media clock sources Mark as supported if the device supports clockClass 13 according to IEEE 1588-2008 clause 7.6.2.4 and table 5. | Yes[]No[]n/a[] |
| A.2.2-24 | defaultDS.clockClass 14 - defaultDS.clockClass 13 in holdover | 0 | Applicable to devices supporting external media clock sources Mark as supported if the device supports clockClass 14 according to IEEE 1588-2008 clause 7.6.2.4 and table 5, and the holdover specification given in AES67-2015 clause A.2.2 (PICS clause A.2.2-20). | Yes[]No[]n/a[] |
| A.2.2-25 | defaultDS.clockClass 52 - degradation alternative A for a clock of clockClass 7 that is not within holdover specification | 0 | Applicable to devices supporting primary reference time source (for example, GPS) Mark as supported if the device supports clockClass 52 according to IEEE 1588-2008 clause 7.6.2.4 and table 5, and the holdover specification given in AES67-2015 clause A.2.2 (PICS clause A.2.2-20). | Yes[]No[]n/a[] |
| A.2.2-26 | defaultDS.clockClass 58 - degradation alternative A for a clock of clockClass 14 that is not within holdover specification | 0 | Applicable to devices supporting external media clock sources Mark as supported if the device supports clockClass 58 according to IEEE 1588-2008 clause 7.6.2.4 and table 5, and the holdover specification given in AES67-2015 clause A.2.2 (PICS clause A.2.2-20). | Yes[]No[]n/a[] |

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| A.2.2-27 | defaultDS.clockClass 150 - A clock whose frequency is synchronized to a reference with ± 1 ppm frequency accuracy (for example, a Grade-1 DARS according to AES11-2009) and whose time has been previously synchronized to a primary reference time source | 0 | Applicable to devices which support a frequency reference and are capable of synchronizing to a primary reference time source either directly or indirectly as a PTP slave. Mark as supported if the device: in absence of the intended primary reference time source, can synchronize (fall back) to a frequency reference source indicates defaultDs.clockClass value 150, when, being previously synchronized to a primary reference time source, is now synchronized to a reference with ± 1 ppm frequency accuracy (for example, a Grade-1 DARS according to AES11-2009) conforms to the relevant requirements of IEEE 1588-2008 clause 7.6.2.4 and table 5 | Yes[]No[]n/a[] |
|----------|---|---|---|----------------|
| A.2.2-28 | defaultDS.clockClass 158 - A clock whose frequency is synchronized to a reference with ± 10 ppm frequency accuracy (for example, a Grade-2 DARS according to AES11-2009) and whose time has been previously synchronized to a primary reference time source | 0 | Applicable to devices which support a frequency reference and are capable of synchronizing to a primary reference time source either directly or indirectly as a PTP slave. Mark as supported if the device: in absence of the intended primary reference time source, can synchronize to a frequency source (fall back to syntonized operation) indicates defaultDs.clockClass value 158, when, being previously synchronized to a primary reference time source, is now synchronized to a reference with ± 10 ppm frequency accuracy (for example, a Grade-2 DARS according to AES11-2009) conforms to the relevant requirements of IEEE 1588-2008 clause 7.6.2.4 and table 5 | Yes[]No[]n/a[] |

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| A.2.2-29 | defaultDS.clockClass 166 - A clock of unspecified tolerance that has been previously synchronized to a primary reference time source | | Applicable to devices which are capable of synchronizing to a primary reference time source either directly or indirectly as a PTP slave. | |
|----------|---|---|--|-----------------------|
| | | | Mark as supported if the device: | |
| | | 0 | • indicates defaultDS.clockClass value 166, when, being previously synchronized to a primary reference time source, is now of unspecified tolerance | |
| | | | • conforms to the relevant requirements of IEEE 1588-2008 clause 7.6.2.4 and table 5 | Yes[] No [] n/a [] |
| A.2.2-30 | defaultDS.clockClass 187 - degradation alternative B for a clock of clockClass 7 that is not within holdover | | Applicable to devices supporting primary reference time source (for example, GPS) | |
| | specification | 0 | Mark as supported if the device supports clockClass 187 according to IEEE 1588-2008 clause 7.6.2.4 and table 5, and the holdover specification given in AES67-2015 clause A.2.2 (PICS clause A.2.2-20). | Yes[]No[]n/a[] |
| A.2.2-31 | defaultDS.clockClass 193 - degradation alternative B for a clock of clockClass 14 that is not within holdover specification | 0 | Applicable to devices supporting external media clock sources Mark as supported if the device supports clockClass 193 according to IEEE 1588-2008 clause 7.6.2.4 and table 5, and the holdover specification given in AES67-2015 clause A.2.2 (PICS clause A.2.2-20). | Yes[] No [] n/a [] |
| A.2.2-32 | defaultDS.clockClass 220 - A clock whose frequency is synchronized to a reference | | Applicable to devices supporting frequency reference source | |
| | with ± 1 ppm frequency accuracy (for example, a Grade-1 DARS according to AES11-2009) and whose time has <i>not</i> been previously synchronized to a primary reference time source | 0 | Mark as supported if the device: indicates defaultDs.clockClass value 220, when, not being previously synchronized to a primary reference time source, is synchronized to a reference with ± 1 ppm frequency accuracy (for example, a Grade-1 DARS according to AES11-2009) conforms to the relevant requirements of IEEE 1588-2008 clause 7.6.2.4 and table 5 | |
| | | | • | Yes[]No[]n/a[] |

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| A.2.2-33 | defaultDS.clockClass 228 - A clock whose frequency is synchronized to a reference with ± 10 ppm frequency accuracy (for example, a Grade-2 DARS according to AES11-2009) and whose time has not been previously synchronized to a primary reference time source | 0 | Applicable to devices supporting frequency reference source Mark as supported if the device: • indicates defaultDS.clockClass value 228, when, not being previously synchronized to a primary reference time source, is synchronized to a reference with ± 10 ppm frequency accuracy (for example, a Grade-2 DARS according to AES11-2009) • conforms to the relevant requirements of IEEE 1588-2008 clause 7.6.2.4 and table 5 | Yes[] No [] n/a [] |
|----------|---|---|--|-----------------------|
| A.2.2-34 | defaultDS.clockClass 248 - default | 0 | Applicable to devices supporting master operation, if none of the other clockClass definitions apply Mark as supported if the device supports clockClass 248 according to IEEE 1588-2008 clause 7.6.2.4 and table 5. | |
| A.2.2-35 | defaultDS.clockClass 255 - a slave only clock | 0 | Applicable to slave-only clocks (defaultDS.slaveOnly is TRUE) Mark as supported if the device supports clockClass 255 according to IEEE 1588-2008 clause 7.6.2.4 and table 5. | Yes[]No[]n/a[] |

G.3.11.1.4 PTP options

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|---|----------------------|---|-------------|
| A.2.3-1 | Devices may implement any options of IEEE 1588-2008 clause 17. | 3 | Mark as supported if at least one option is implemented Indicate the implemented options | Yes[] No [] |
| A.2.3-2 | Devices may implement unicast negotiation as defined in clause 16.1 of IEEE 1588-2008. | 3 | Mark as supported if the device implements unicast negotiation as defined in clause 16.1 of IEEE 1588-2008. | |
| A.2.3-3 | IEEE 1588 clause 16.1 and 17 options shall be inactive unless specifically activated by a management procedure. | 1 | Mark as supported if IEEE 1588 clause 16.1 and 17 options are inactive unless specifically activated by a management procedure. | |
| A.2.3-4 | Node management shall implement the management message mechanism of IEEE 1588-2008. | 1 | Mark as supported if node management implements the management message mechanism of IEEE 1588-2008. | Yes[] No [] |
| A.2.3-5 | The best master clock algorithm shall be the algorithm specified by IEEE 1588-2008 clause 9.3.2. | 1 | Mark as supported if the best master clock algorithm is the algorithm specified by IEEE 1588-2008 clause 9.3.2. | Yes[] No [] |

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| A. | 2.3-6 | The default path-delay measurement mechanism shall be the delay request-response mechanism specified by IEEE 1588. | 1 | Mark as supported if the default path-delay measurement mechanism is the delay request-response mechanism specified by IEEE 1588. | Yes [] No [] |
|----|-------|--|---|---|----------------|
| A. | 2.3-7 | The peer delay mechanism should also be implemented. | 2 | Mark as supported if the peer delay mechanism is also implemented. | Yes [] No [] |

G.3.11.1.5 Clock physical requirements

| Statement Number | Feature | Requirement level | Notes | Supported |
|---------------------|---|----------------------|--|----------------|
| A.2.4-1 | Clocks shall meet requirements of Grade 2 DARS set forth in AES11 clause 5.2. | 1 | Mark as supported if the clock meets requirements of Grade 2 DARS set forth in AES11 clause 5.2. | Yes[] No[] |
| A.2.4-2 | Clocks may conform to the Grade 1 requirements. | 3 | Mark as supported if the clock conforms to the Grade 1 requirements. | Yes [] No [] |

G.4 - Qualification criteria for encoding and streaming capabilities

Stream modes are described by a number of attributes, namely:

- a combination of encoding and sampling rate
- packet time
- channel count

Devices may, or may not implement specific attribute values, which determines their level of conformance. All attribute values as used for conformance testing purposes are listed in Tables G.2 to G.3 and enumerated as "Tx.x" for stream transmit and "Rx.x" for stream receive capabilities.

The manufacturer of the device under test declares attribute values that are to be included in conformance testing. Attribute values that are not declared are considered not implemented and ignored during conformance testing.

A specific attribute value (capability) is considered supported if it is verified in combination with all declared values of all other attributes. An exception must be made for modes that would exceed the maximal allowed RTP payload size 1440 bytes. Each of the enumerated capabilities needs to be tested only once, while allowing references to it from multiple clauses.

Transmit Receive T1.1 L24 at 48 kHz R1.1 L24 at 48 kHz T1.2 L16 at 48 kHz R1.2 L16 at 48 kHz T1.3 L24 at 96 kHz R1.3 L24 at 96 kHz T1.4 L16 at 44,1 kHz R1.4 L16 at 44,1 kHz

Table G.2 - Encoding and sampling rate attributes

| Table G.3 - | Packet time | attributes |
|-------------|-------------|------------|
|-------------|-------------|------------|

| Transmit | | Receive | |
|----------|-------------------|---------|-------------------|
| T2.1 | 1 ms | R2.1 | 1 ms |
| T2.2 | 0,125 ms | R2.2 | 0,125 ms |
| T2.3 | 0,250 ms | R2.3 | 0,250 ms |
| T2.4 | 0,333 ms | R2.4 | 0,333 ms |
| T2.5 | 4 ms | R2.5 | 4 ms |
| T2.6 | one or more other | R2.6 | one or more other |

Table G.4 - Channel count attributes

| Transmit | | Receive | |
|----------|---------------------------|---------|---------------------------|
| T3.1 | 1 | R3.1 | 1 |
| T3.2 | 2 | R3.2 | 2 |
| T3.3 | 3 | R3.3 | 3 |
| T3.4 | 4 | R3.4 | 4 |
| T3.5 | 5 | R3.5 | 5 |
| T3.6 | 6 | R3.6 | 6 |
| T3.7 | 7 | R3.7 | 7 |
| T3.8 | 8 | R3.8 | 8 |
| T3.9 | one or more higher than 8 | R3.9 | one or more higher than 8 |

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